

# Particle Acceleration in Shocks

Marty Lee

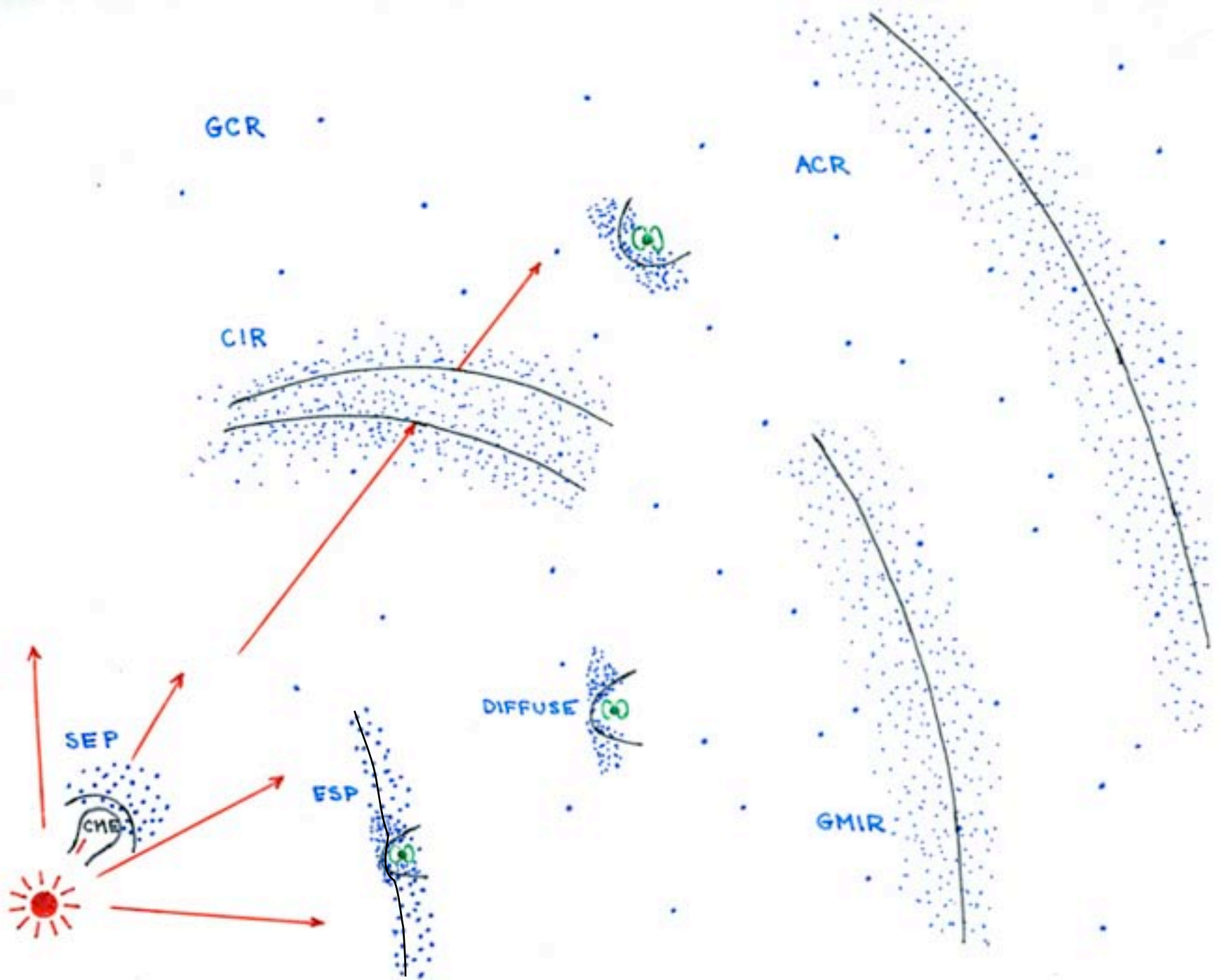


USA

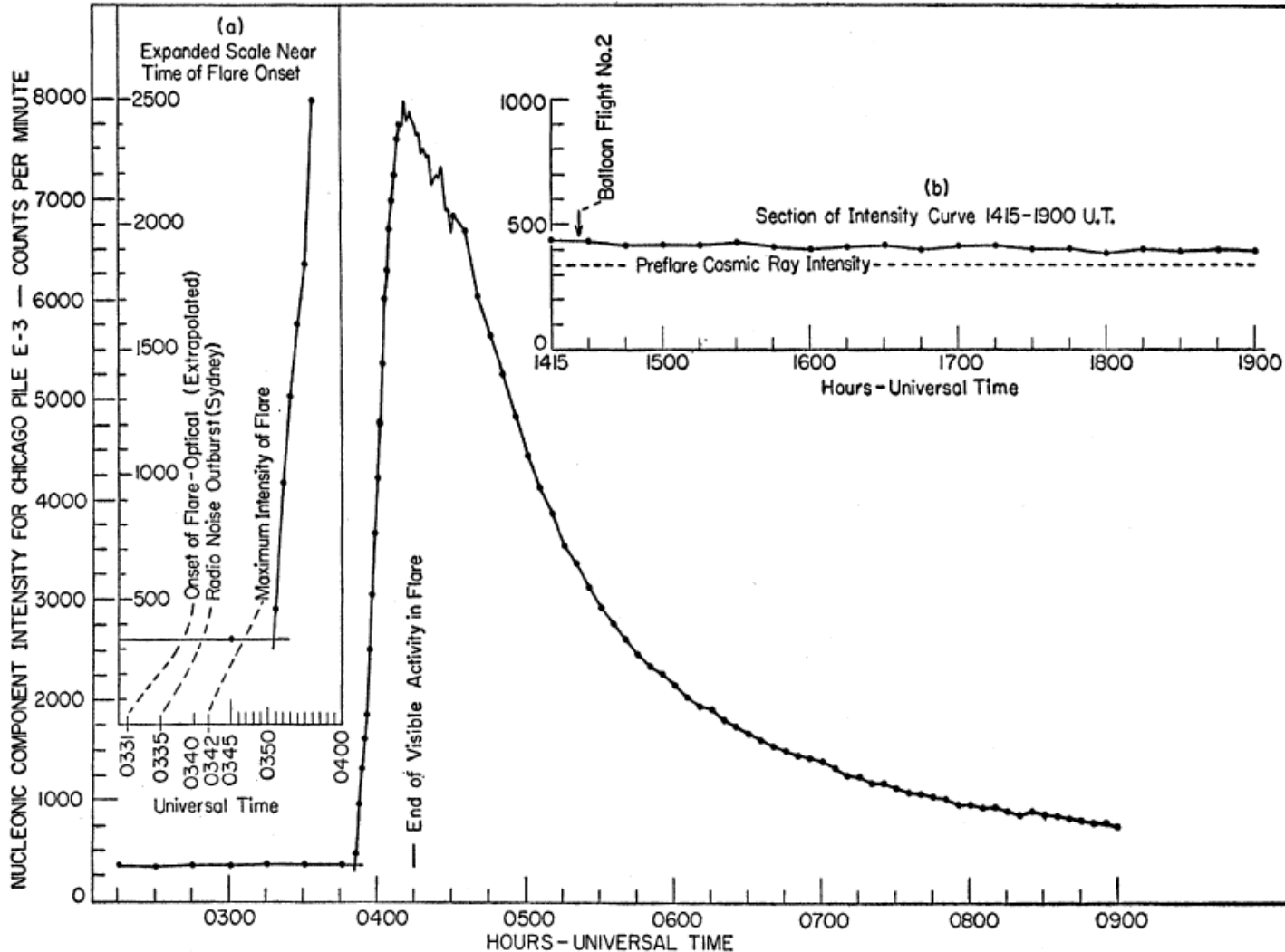
# Particle Acceleration in Shocks

1. Introduction
2. Parker Transport Equation
3. Applications of the Parker Equation
4. Diffusive Shock Acceleration (DSA)
5. Wave Excitation at Shocks
6. Applications of DSA

# 1. Introduction

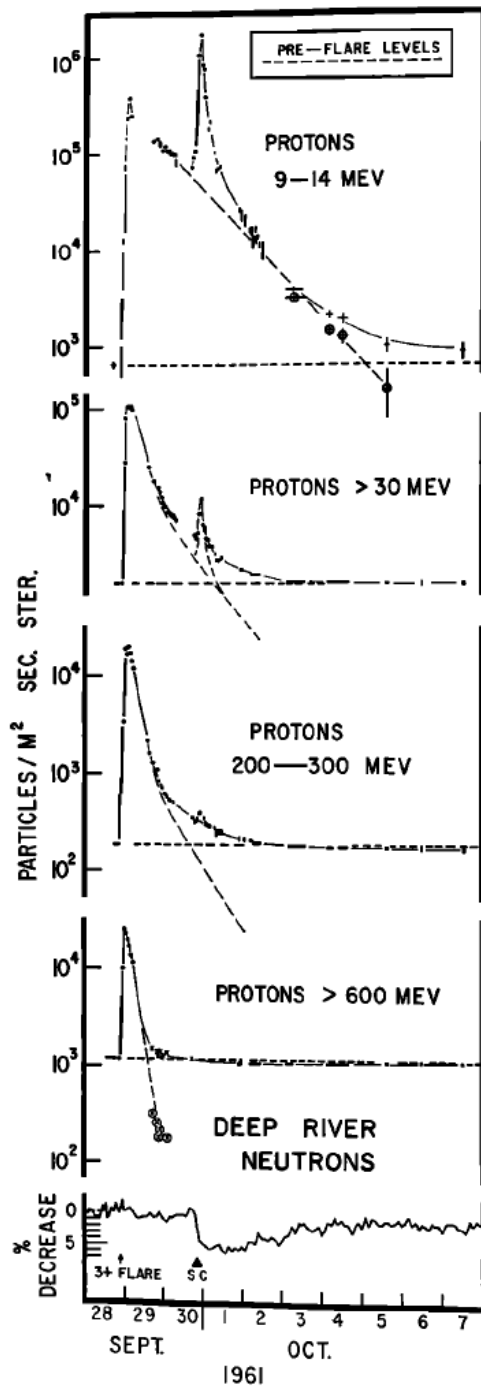


# 23 February 1956 GLE Event



*Meyer, Parker and Simpson, 1956*

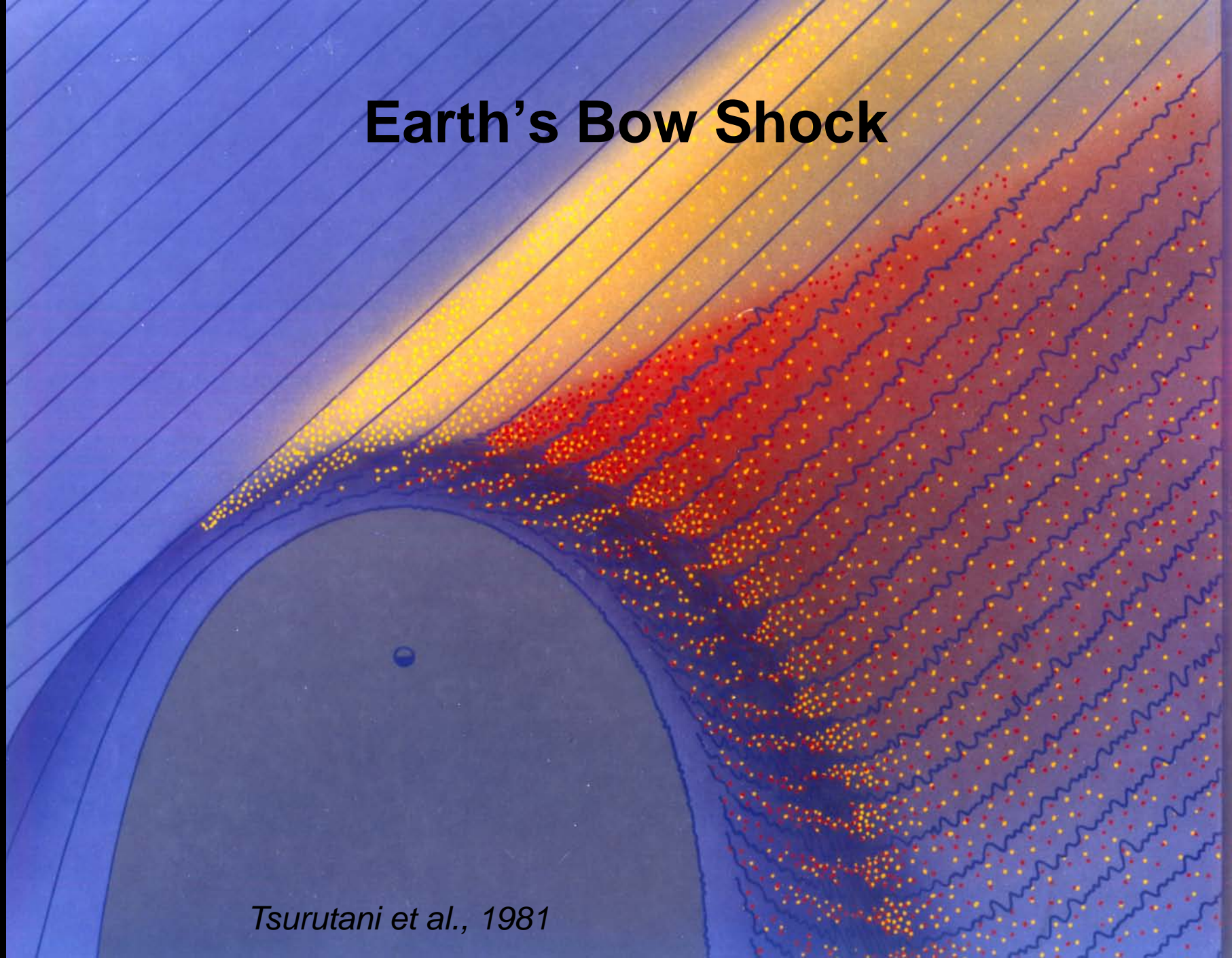
# 28 September 1961 Event Explorer 12

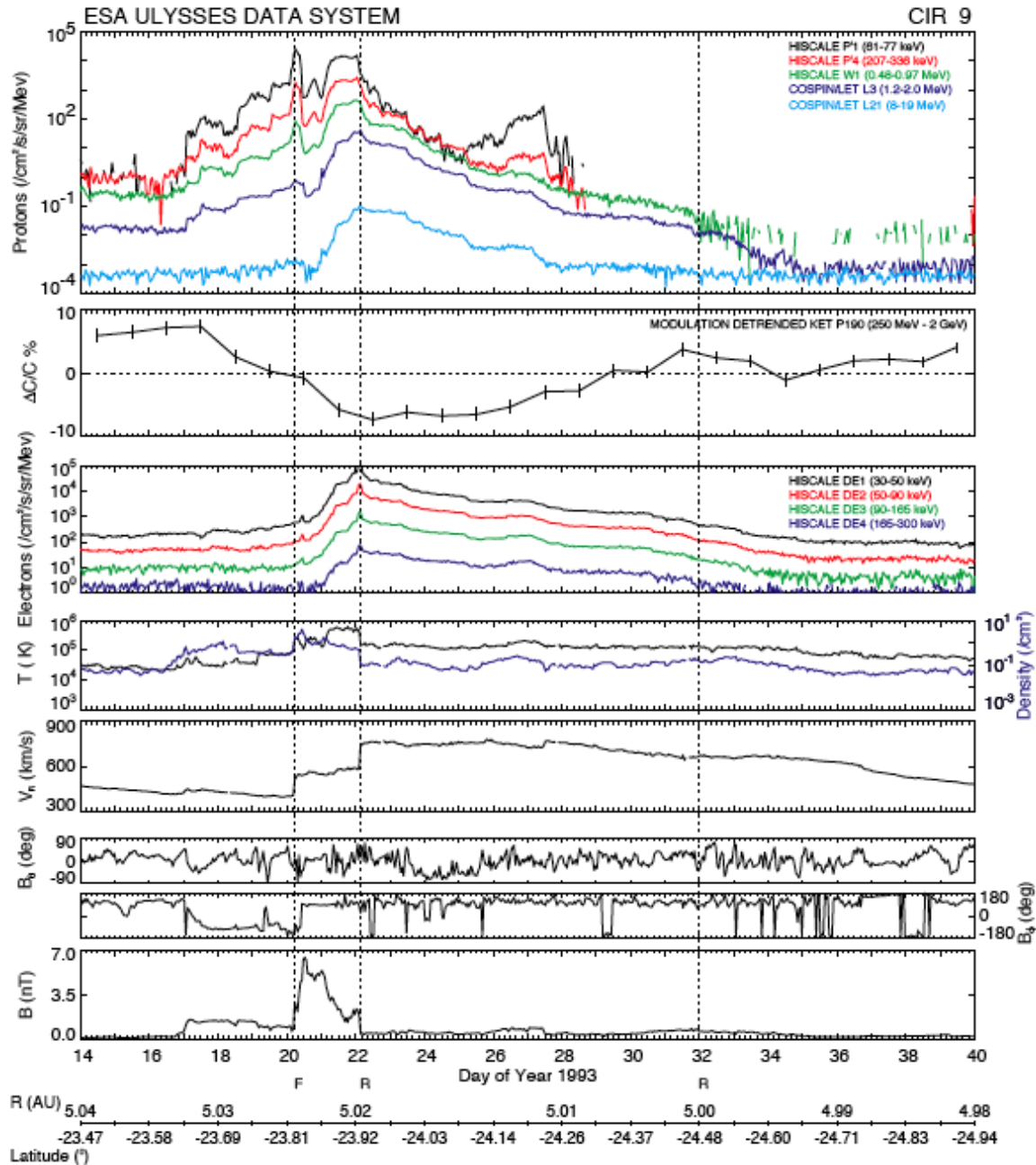


*Bryant, Cline, Desai  
and McDonald, 1962*

# Earth's Bow Shock

*Tsurutani et al., 1981*

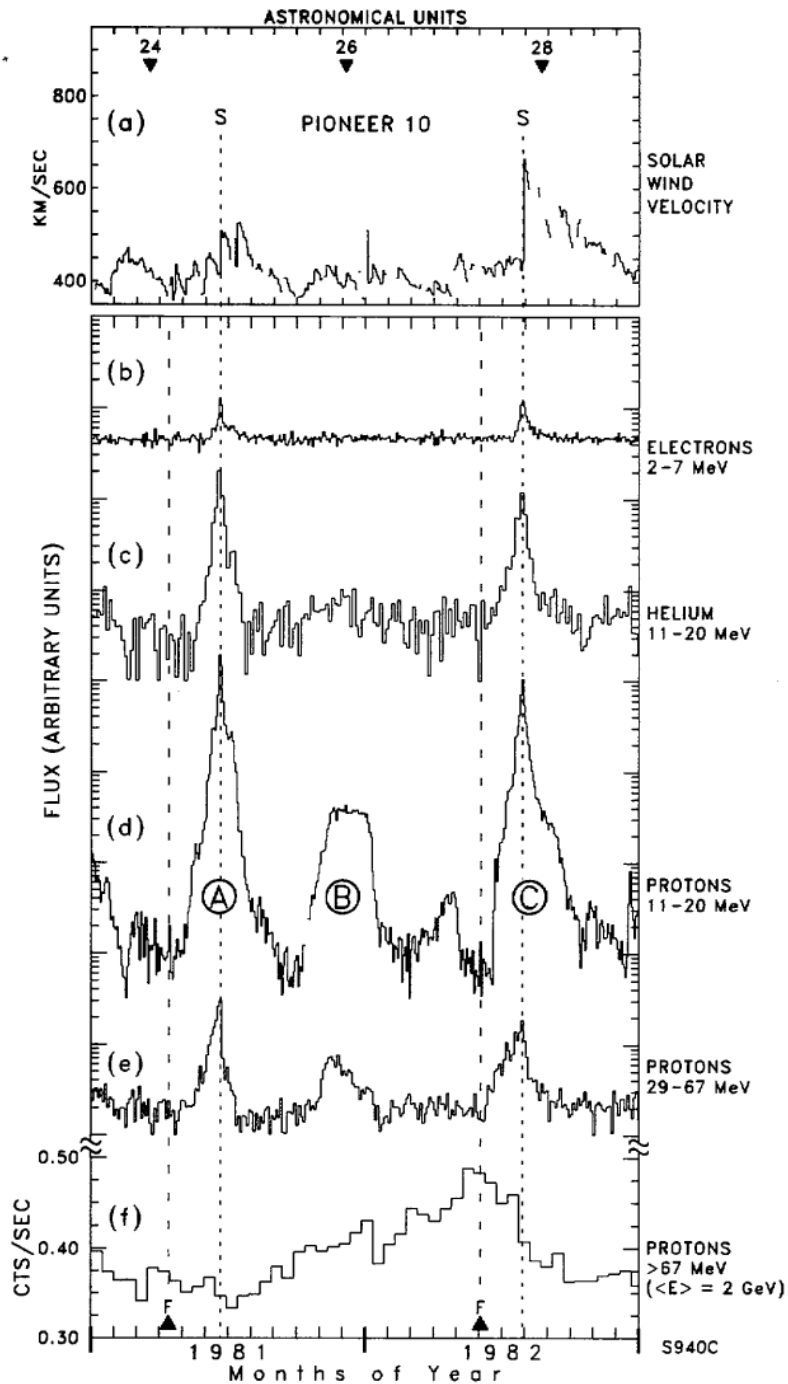




# CIR Event: Ulysses

*Kunow et al., 1999*





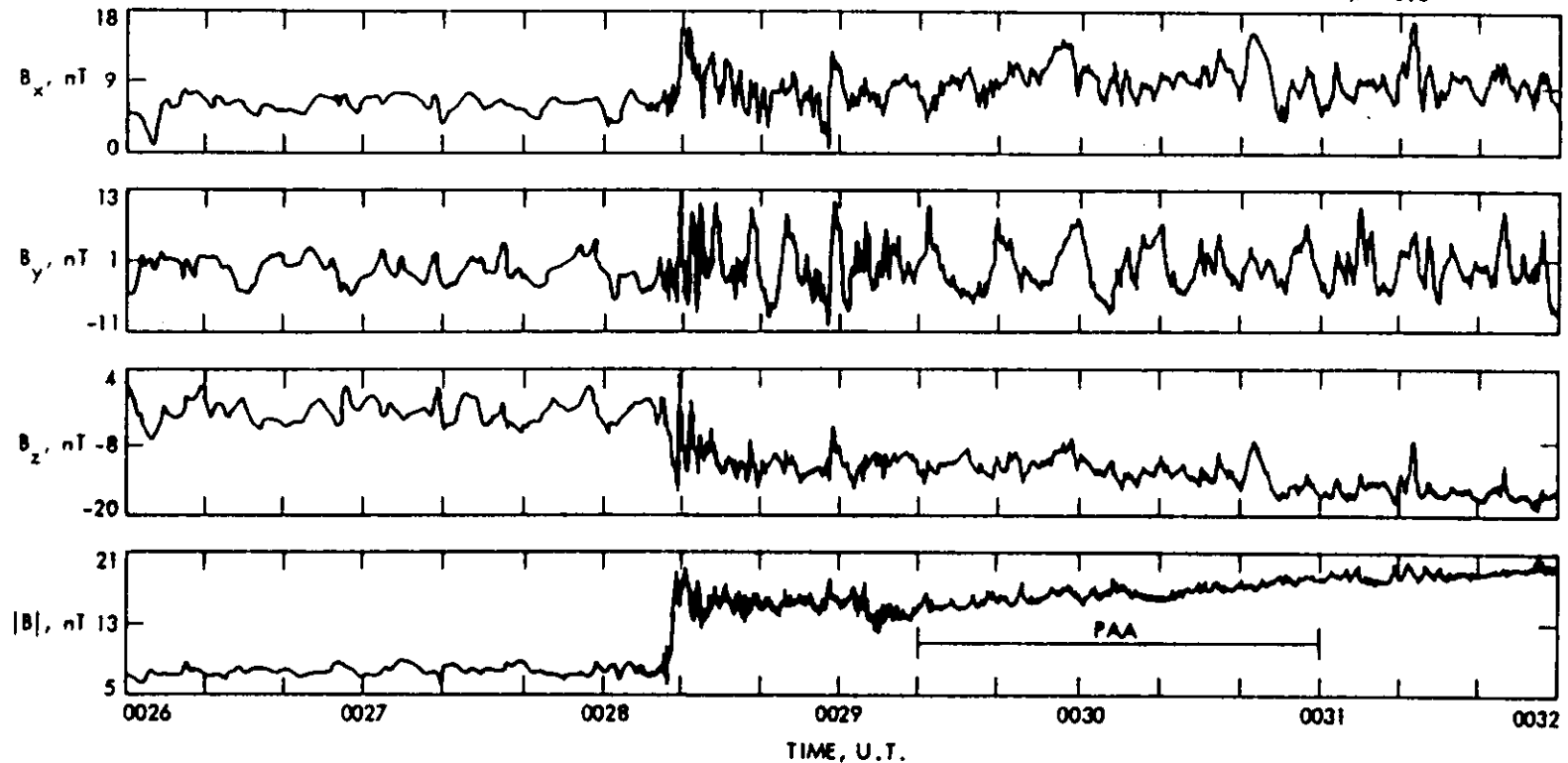
# Pioneer Super Events

*Pyle et al., 1984*

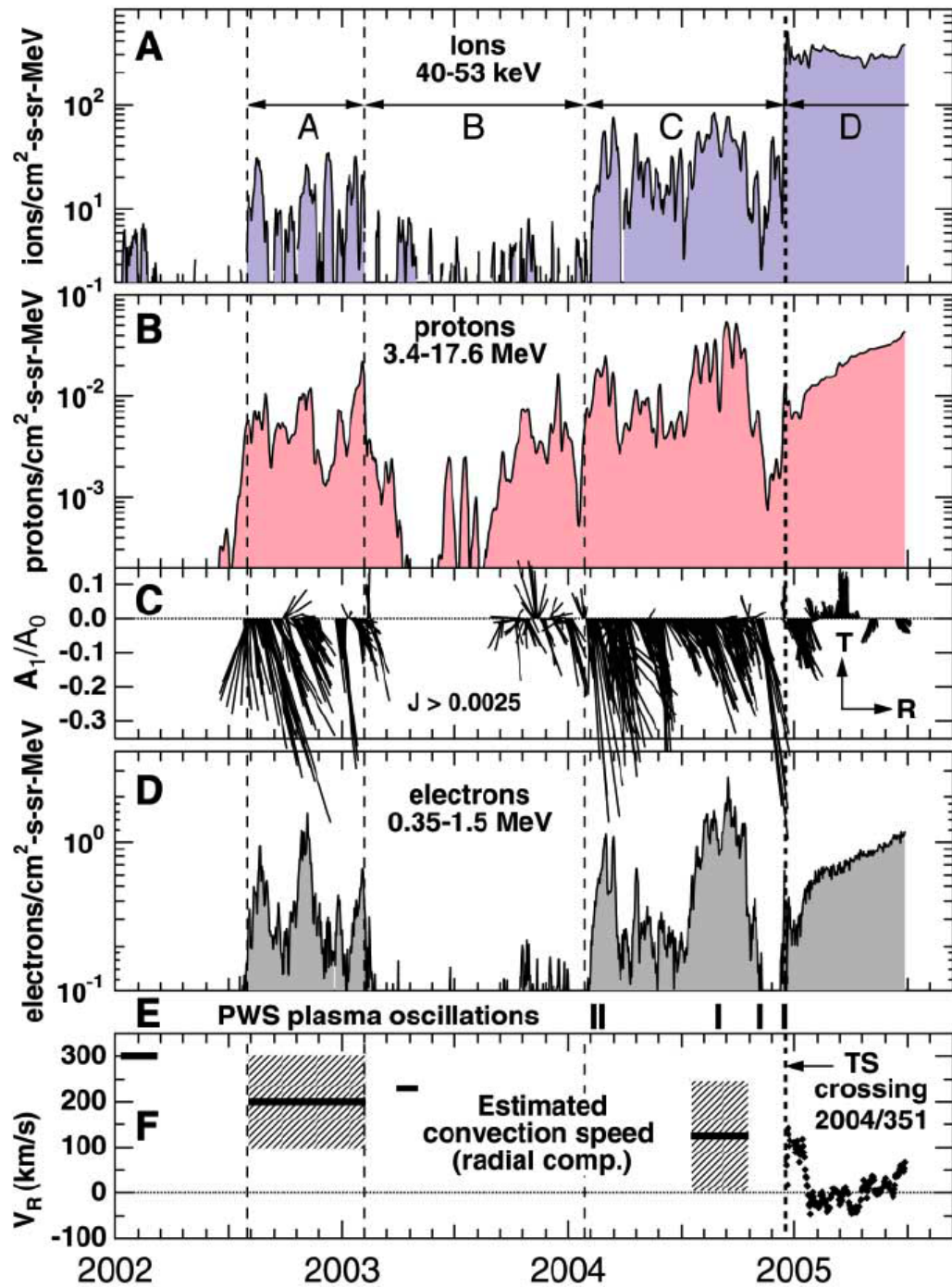
# Collisionless Shock on 11/12/78: ISEE-3

DAY 316, 1978  
NOVEMBER 12  
ISEE-3

$\hat{n} = (-.96, .28, .09)$   
 $\theta_B = 22^\circ$   
 $M_s = 4.7$   
 $\beta = 0.5$



*Tsurutani et al., 1983*

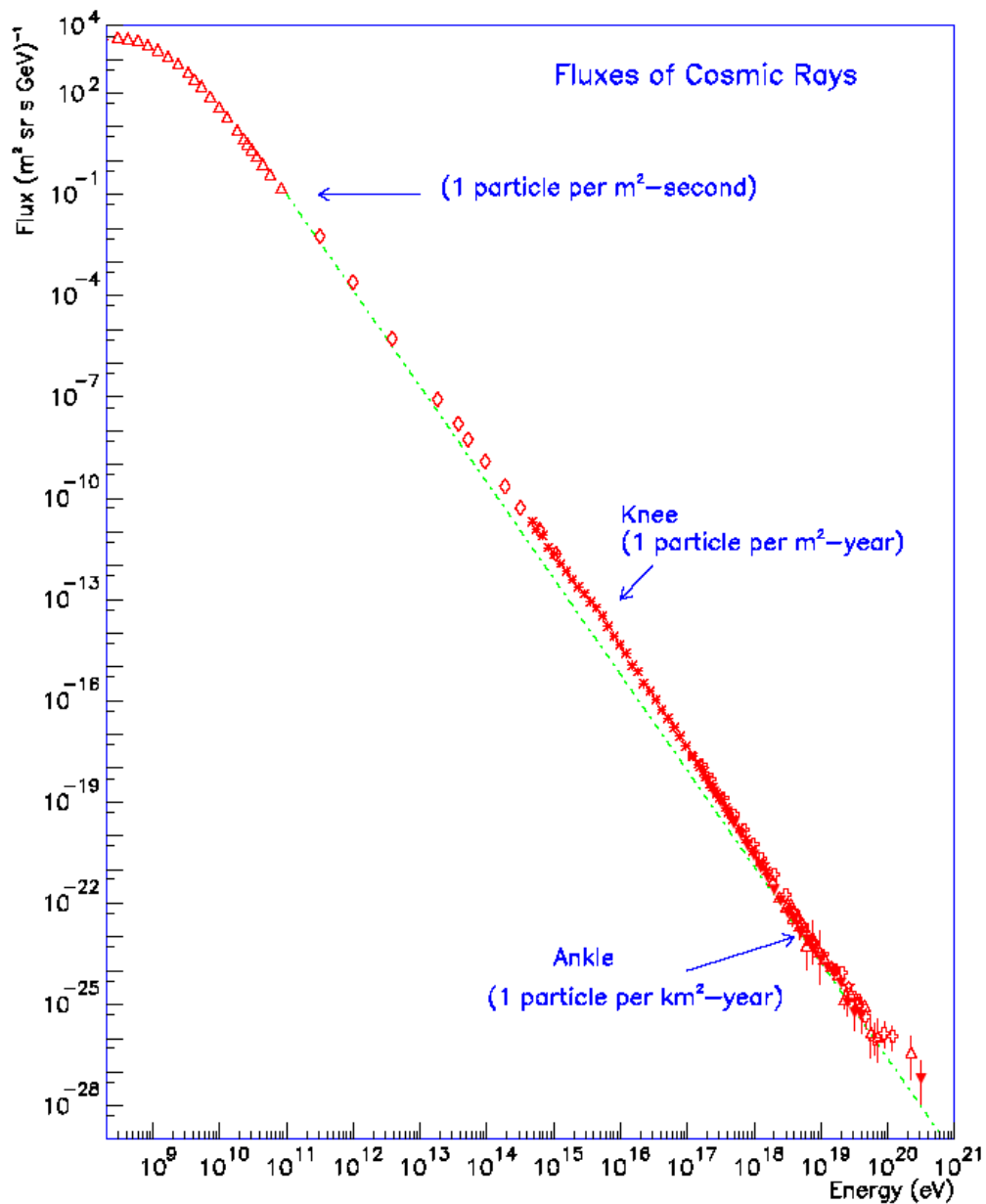


# Voyager 1 Ions

*Decker et al., 2005*

# “Termination Shock” in Your Sink



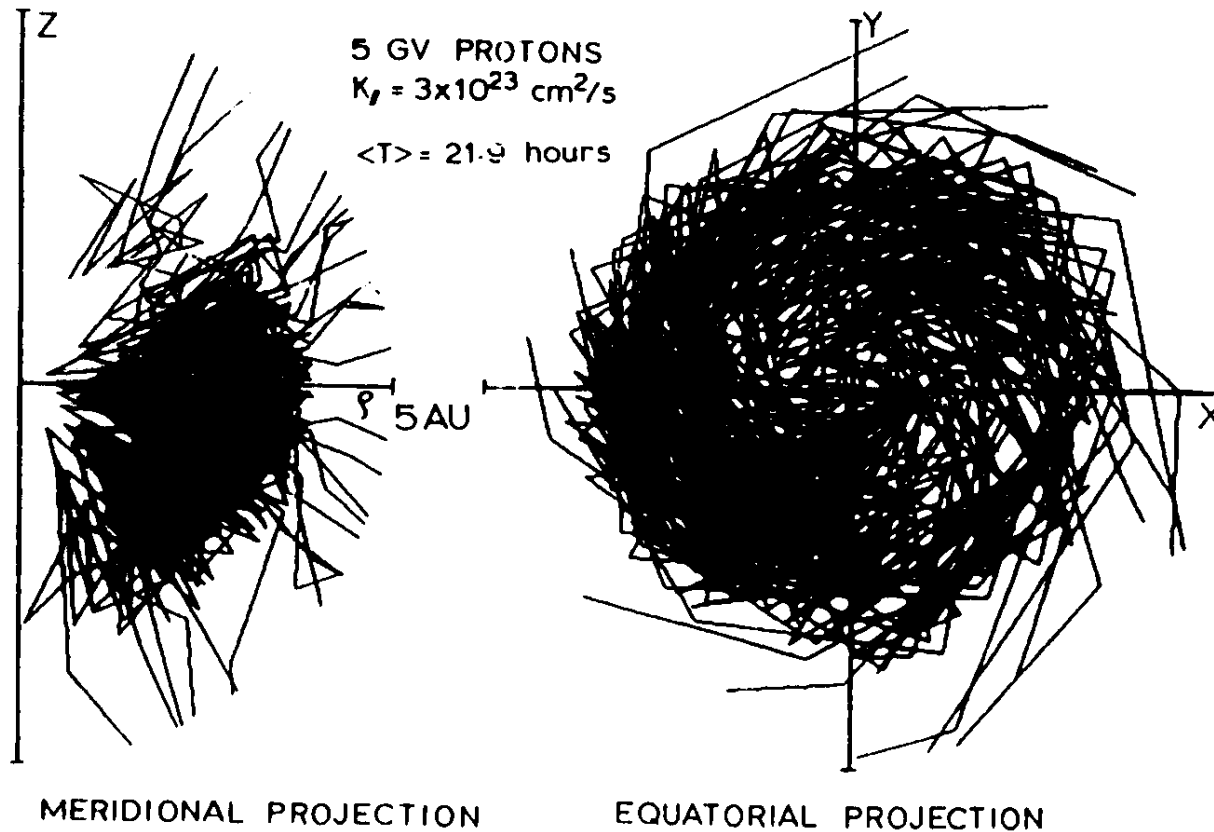


The GCR spectrum continues as a power, in energy (index of about -2.7)

Highest energy cosmic rays have the kinetic energy of a major league baseball.

Figure 1. The all particle spectrum of cosmic rays - Cronin, Gaisser, Swordy 1997

# The Hairy Ball?



*Thomas and Gall, 1984*

# Distribution Functions

$$F(\mathbf{p}, \mathbf{x}, t) \quad (\text{phase-space distribution function})$$

$$n(\mathbf{x}, t) = \int d^3\mathbf{p} F(\mathbf{p}, \mathbf{x}, t) \quad (\text{number density})$$

$$f(p, \mathbf{x}, t) = (4\pi)^{-1} \int d\Omega F(\mathbf{p}, \mathbf{x}, t)$$

(omnidirectional distribution function)

$$\text{Flux} = v F p^2 dp d\Omega$$

$$J = \text{Flux} / (d\Omega dE) = p^2 F \quad (\text{differential intensity})$$

# Vlasov Equation

$$\frac{\partial F}{\partial t} + \mathbf{v} \cdot \frac{\partial F}{\partial \mathbf{x}} + q \left( \mathbf{E} + \frac{\mathbf{v}}{c} \times \mathbf{B} \right) \cdot \frac{\partial F}{\partial \mathbf{p}} = 0$$



## 2. Parker Transport Equation

Parker (1964)

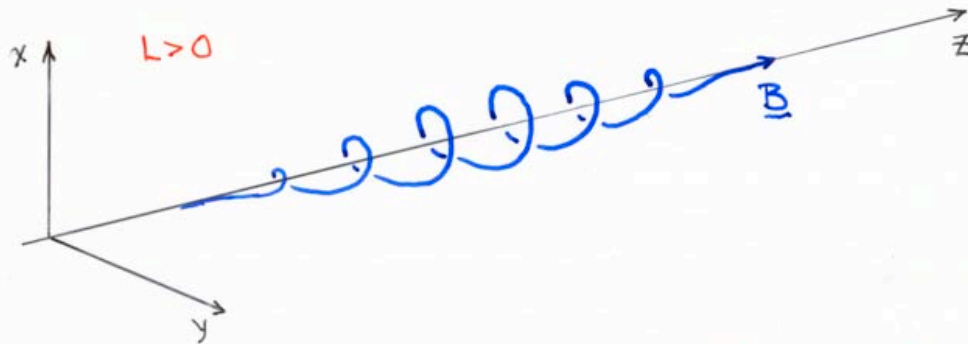
$$\underline{B} = B_0 \left[ \frac{dF}{dz} \hat{e}_x + \frac{dG}{dz} \hat{e}_y + \hat{e}_z \right]$$

field lines:  $x = F(z) + x_0$   
 $y = G(z) + y_0$

if  $F, G \rightarrow F_{\pm}, G_{\pm}$  as  $z \rightarrow \pm\infty$

particle remains on field line Tokipii  
Kota

take:  $F(z) = \varepsilon \sin(2\pi \frac{z}{L}) e^{-z^2/L^2}$   
 $G(z) = \varepsilon \cos(2\pi \frac{z}{L}) e^{-z^2/L^2}$   $\varepsilon \ll 1$



$$\Delta \nu_z = \varepsilon \sin \Phi \pi^{1/2} l \nu_{\perp} (\Omega^2 / \nu_z^2) e^{-\frac{1}{4} \left( \frac{\Omega l}{\nu_z} \right)^2 \left( \frac{2\pi \nu_z}{\Omega L} - 1 \right)^2}$$

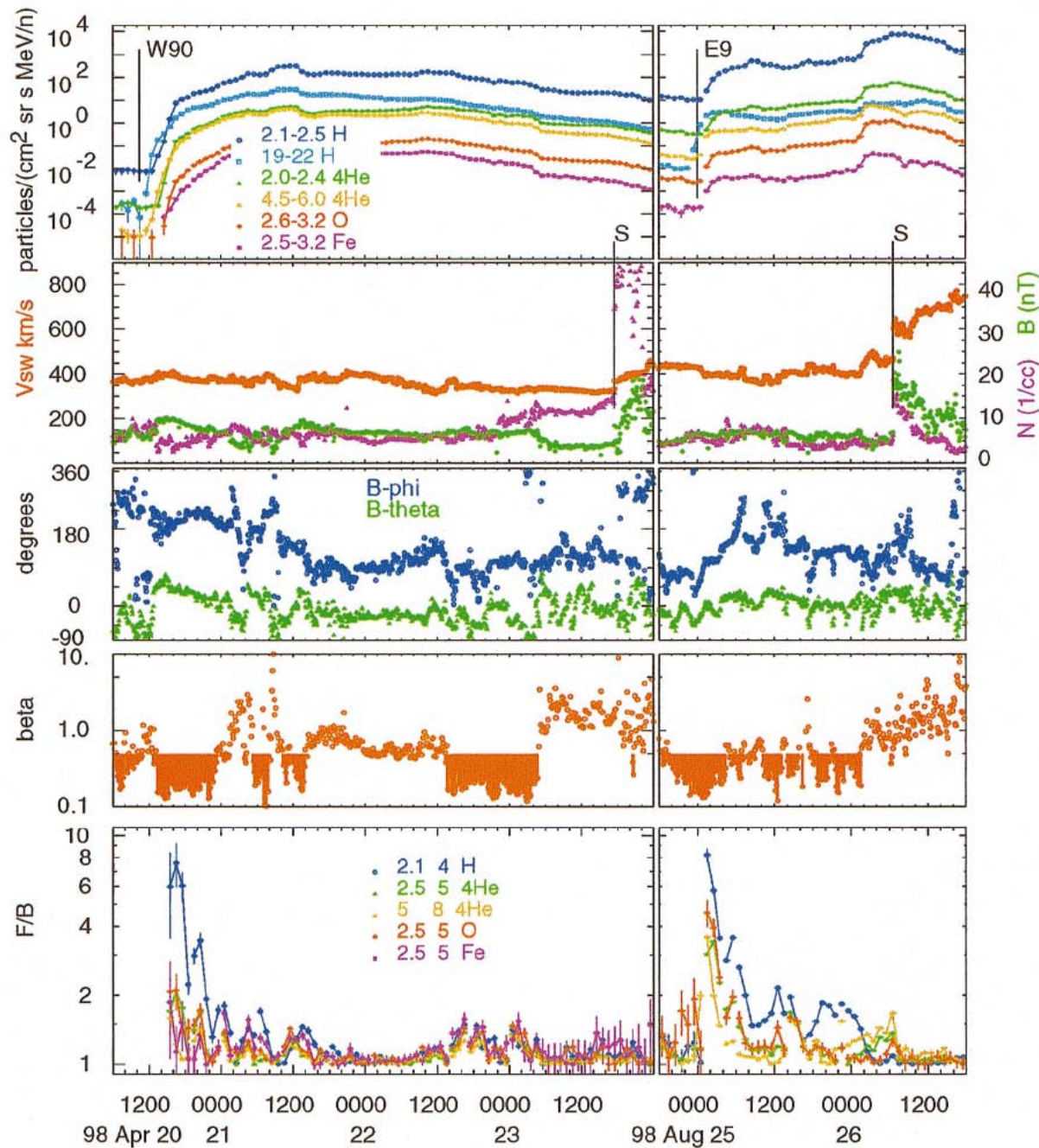
resonance:  $\tau_g = \frac{l}{\nu_z}$

# Cyclotron Resonance Condition

$$\omega - kv_z + \Omega = 0$$

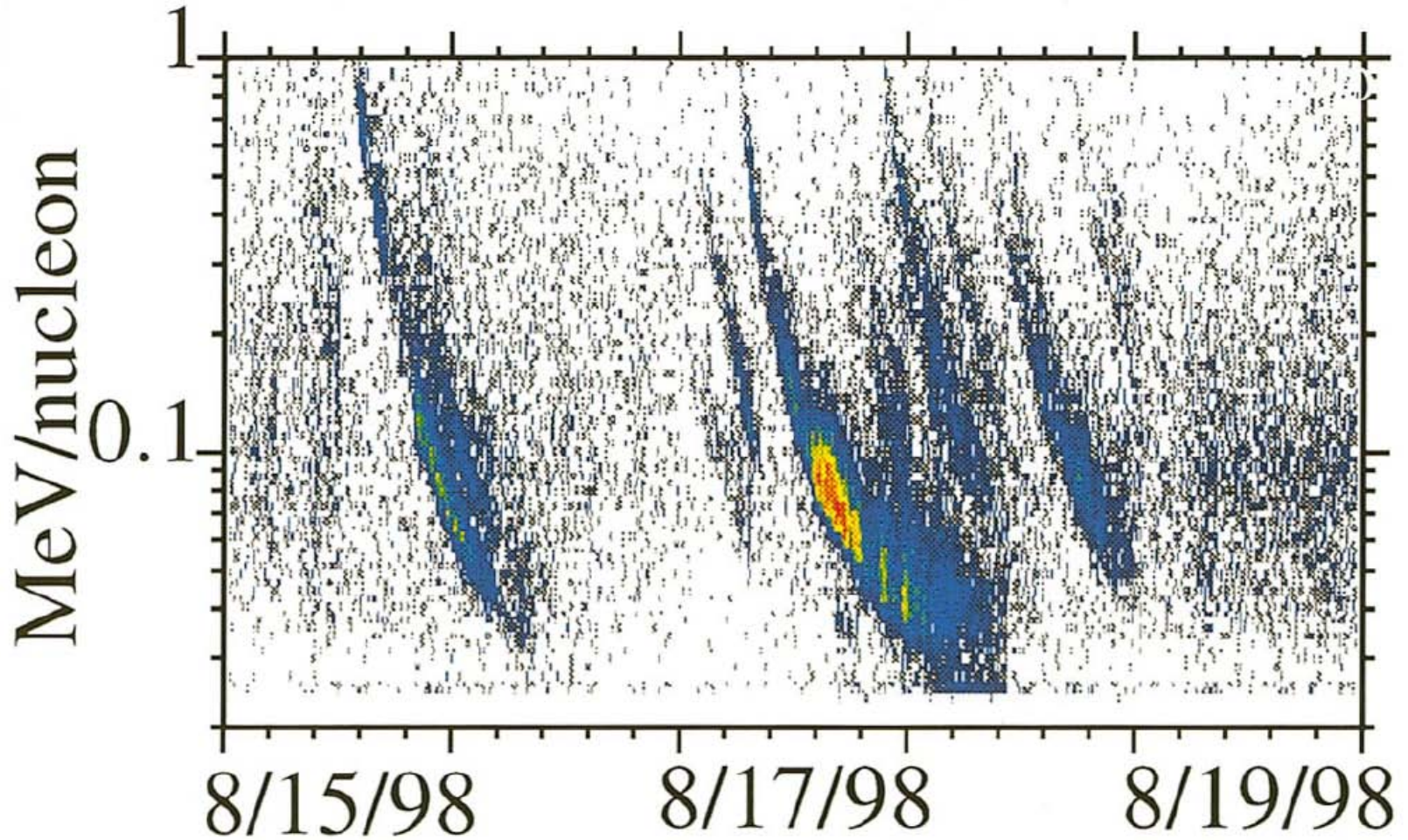
$$kv_z \approx \Omega$$

# Streaming Anisotropy



*Reames et al., 2001*

# Impulsive Events



*Mason et al., 1999*

# Parker's "Confusion-Defection" Equation

$$\int U dE = n = \int 4\pi p^2 f dp$$

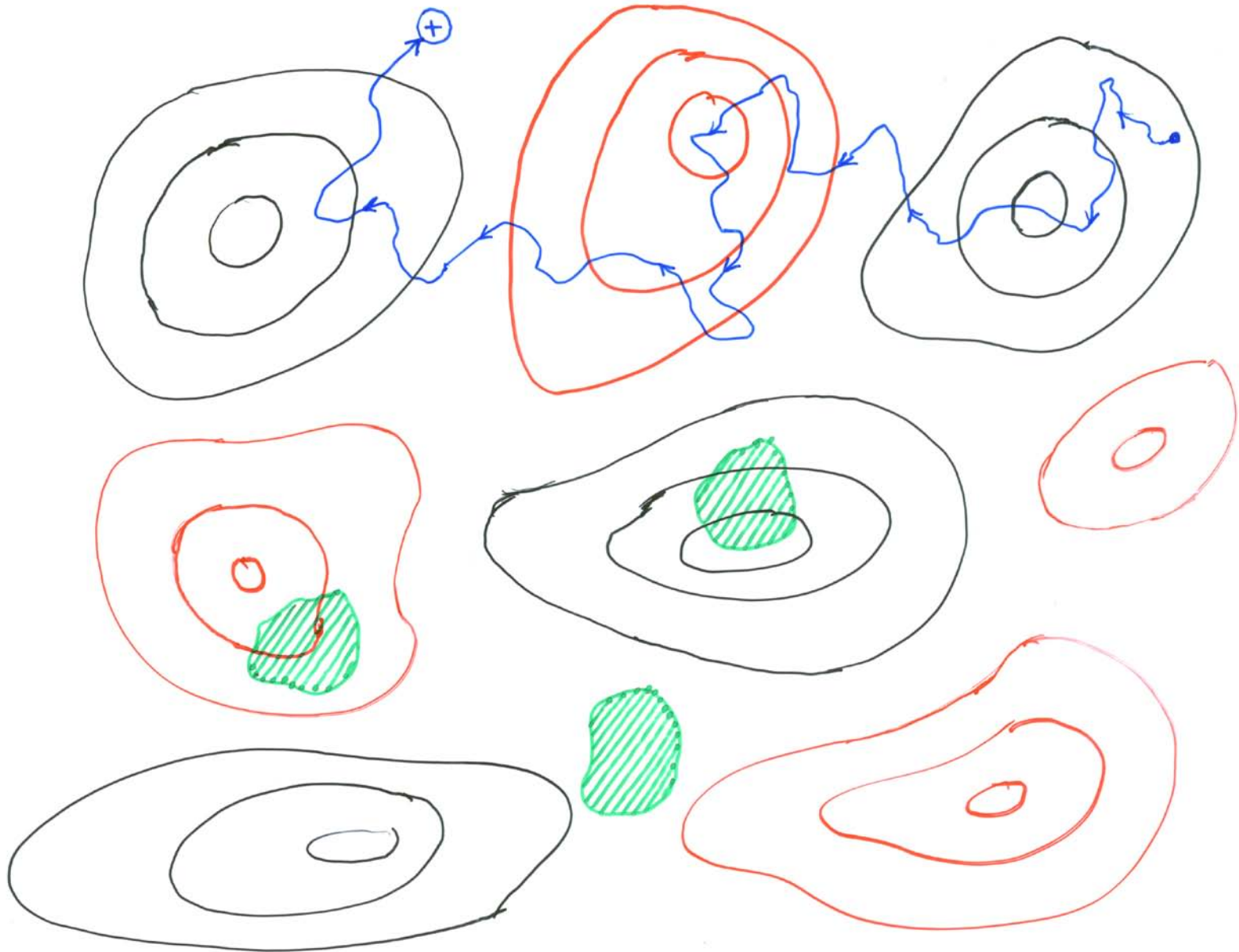
$$\frac{\partial U}{\partial t} + \frac{\partial}{\partial E} (\mathbf{V} \cdot \nabla p v U / 3) + \nabla \cdot \left[ -\mathbf{K} \cdot \nabla U + \frac{pvc}{3qB^2} \mathbf{B} \times \nabla U - \frac{1}{3} \mathbf{V} \frac{p^3}{v} \frac{\partial}{\partial p} \left( U \frac{v}{p^2} \right) \right] = 0$$

$$\Rightarrow \frac{\partial f}{\partial t} + (\mathbf{V} + \mathbf{V}_D) \cdot \nabla f - \nabla \cdot \mathbf{K} \cdot \nabla f - \frac{1}{3} \nabla \cdot \mathbf{V} p \frac{\partial f}{\partial p} = 0$$

$$(\mathbf{E} \cong -c^{-1} \mathbf{V} \times \mathbf{B})$$

Parker, 1965

Contours of  $\nabla \cdot \mathbf{V} > 0$  and  $< 0$



# Stochastic Compressions and Rarefactions: Quasi-Linear Theory

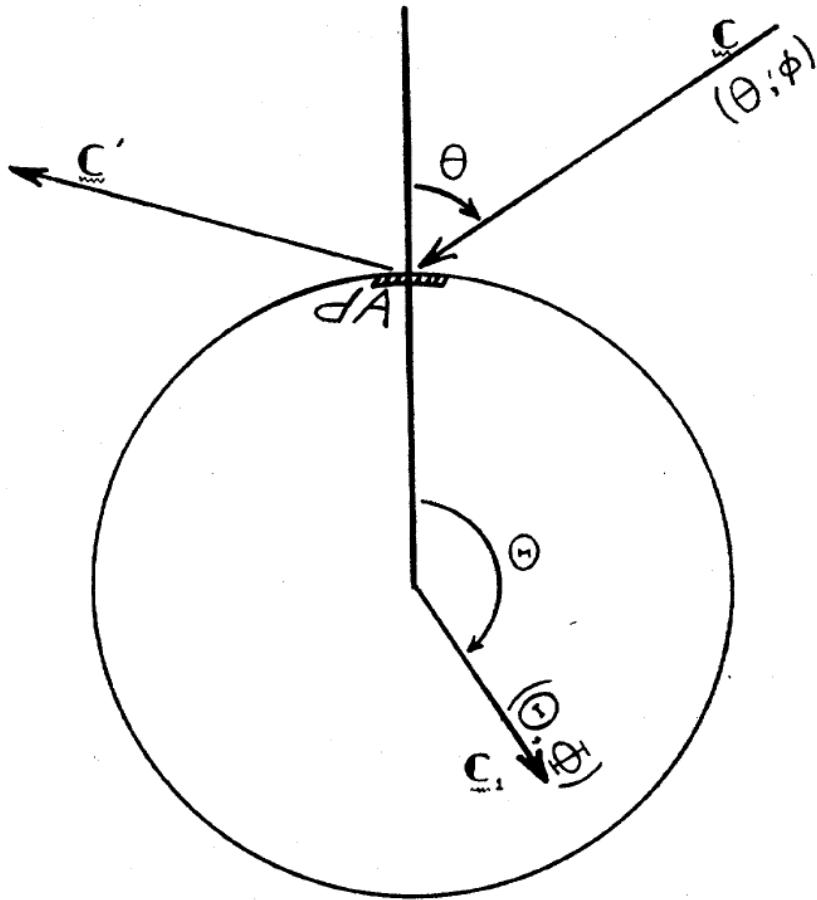
$$\frac{\mathcal{f}_0}{\partial t} = \frac{1}{v^2} \frac{\partial}{\partial v} \left\{ \frac{v^4}{9} \int_{-\infty}^{\infty} d^3 \mathbf{x}' \int_{-\infty}^t dt' G(\mathbf{x}, t; \mathbf{x}', t') \langle (\nabla \cdot \delta \mathbf{V})(\nabla' \cdot \delta \mathbf{V}') \rangle \frac{\mathcal{f}_0(v, t)}{\partial v} \right\}$$

$$G(\mathbf{x}, t; \mathbf{x}', t') = [4 \pi K (t - t')]^{-3/2} \exp\{-|\mathbf{x} - \mathbf{x}'|^2 [4K (t - t')]^{-1}\}$$

$$\frac{\mathcal{f}_0}{\partial t} = \frac{1}{v^2} \frac{\partial}{\partial v} \left[ v^2 D \frac{\mathcal{f}_0}{\partial v} \right]$$



# Stochastic Acceleration



$$\frac{\partial f}{\partial t} = \frac{1}{p^2} \frac{\partial}{\partial p} \left( p^2 D(p) \frac{\partial f}{\partial p} \right)$$

$$D(p) = \frac{1}{3} \langle V^2 \rangle \frac{1}{\lambda} \frac{p^2}{v}$$

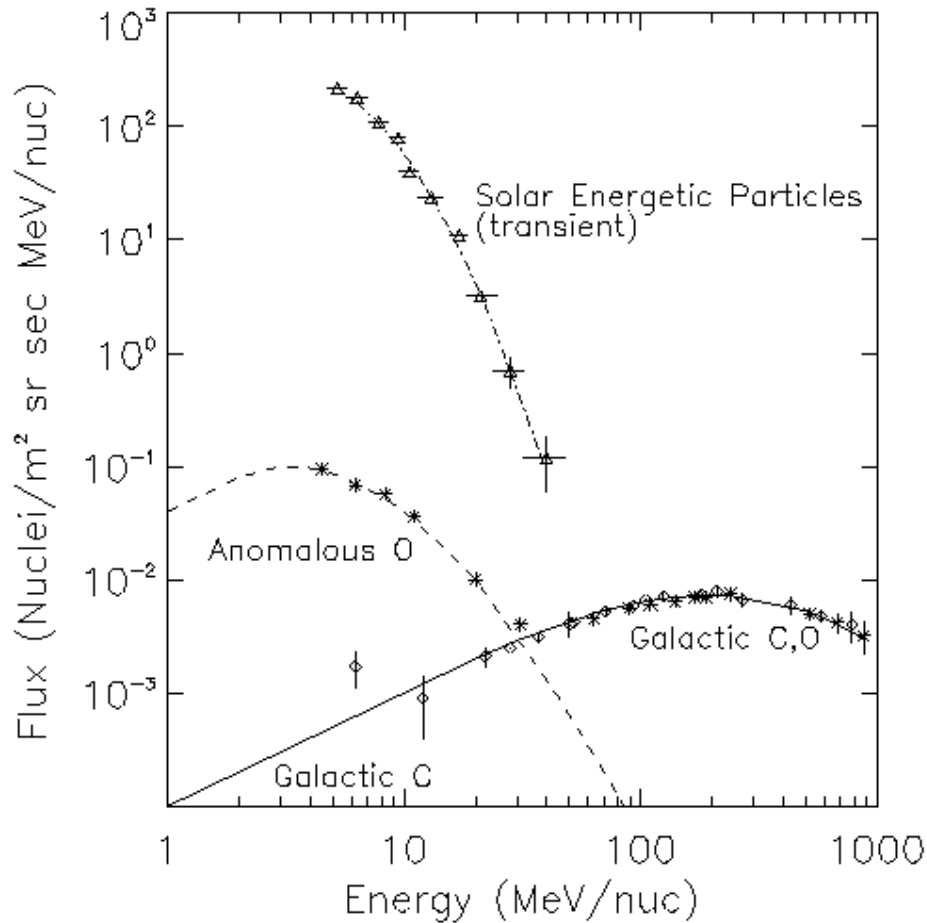
$$\lambda \equiv (\pi R^2 N)^{-1}$$

FIG. 2. Coordinate system for calculation of  $\Delta c$ , etc.

*Parker and Tidman, 1958*

## 3. Applications of the Parker Equation

# Charged Particle Spectrum



Ions not marked by source

Energy and timing help separate sources

Charge state also:

AC singly charged

GCR full stripped

SEP partially stripped

# Solar Modulation of GCR: A Simple Case

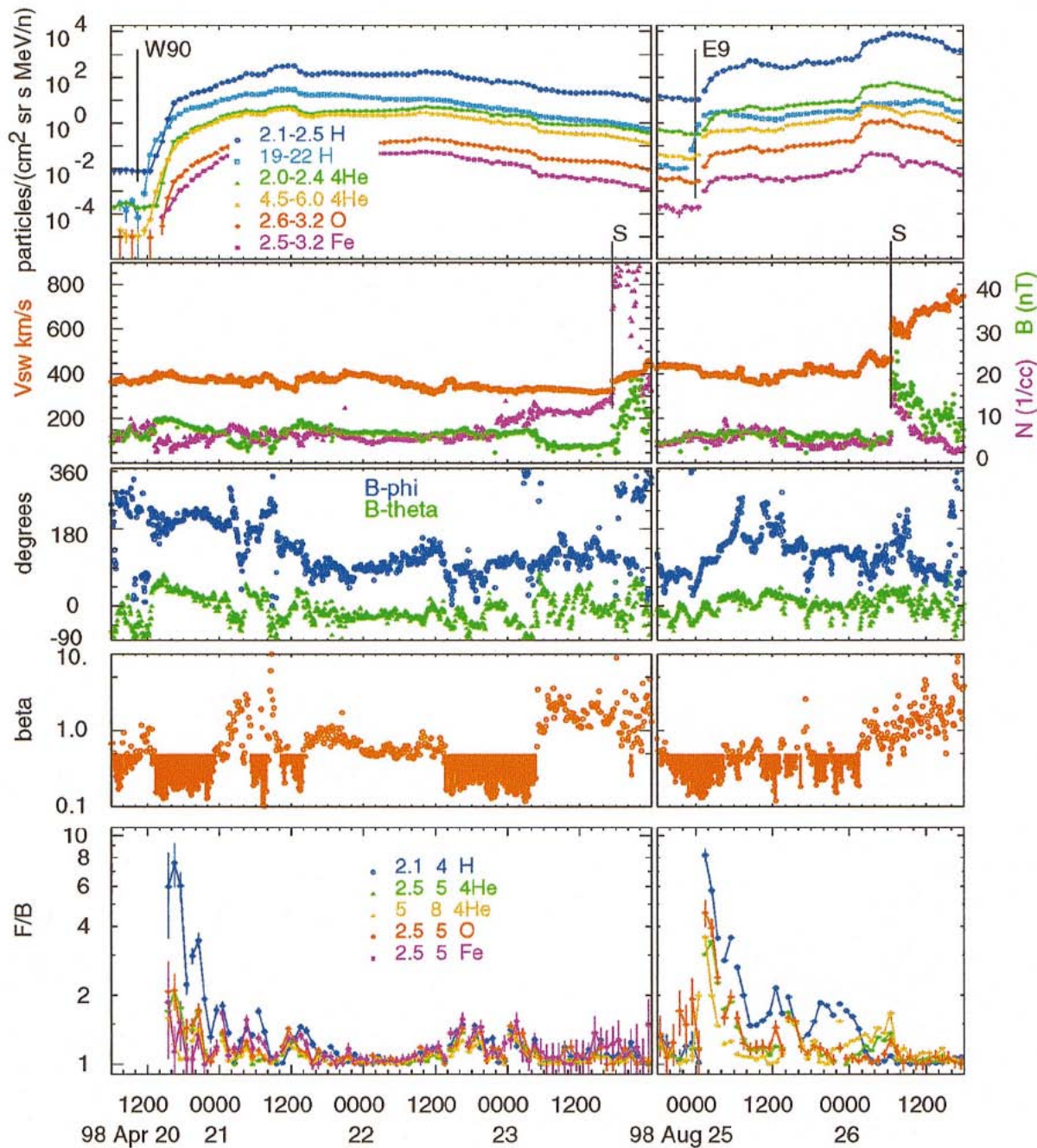
$$n = \int 4\pi p^2 f dp, \quad \mathbf{V}_D = 0, \quad \nabla = \mathbf{e}_r d/dr, \quad \partial/\partial t = 0, \quad K = K(r), \quad \mathbf{V} = \mathbf{e}_r V$$

$$\frac{1}{r^2} \frac{d}{dr} \left[ r^2 \left( Vn - K \frac{dn}{dr} \right) \right] = 0$$

$$Vn - K \frac{dn}{dr} = \frac{C}{r^2} \quad C = 0$$

$$n(r) = n(r = R) \exp \left( - \int_r^R \frac{V dr'}{K(r')} \right)$$

# Solar Energetic Particle Event



*Reames et al., 2001*

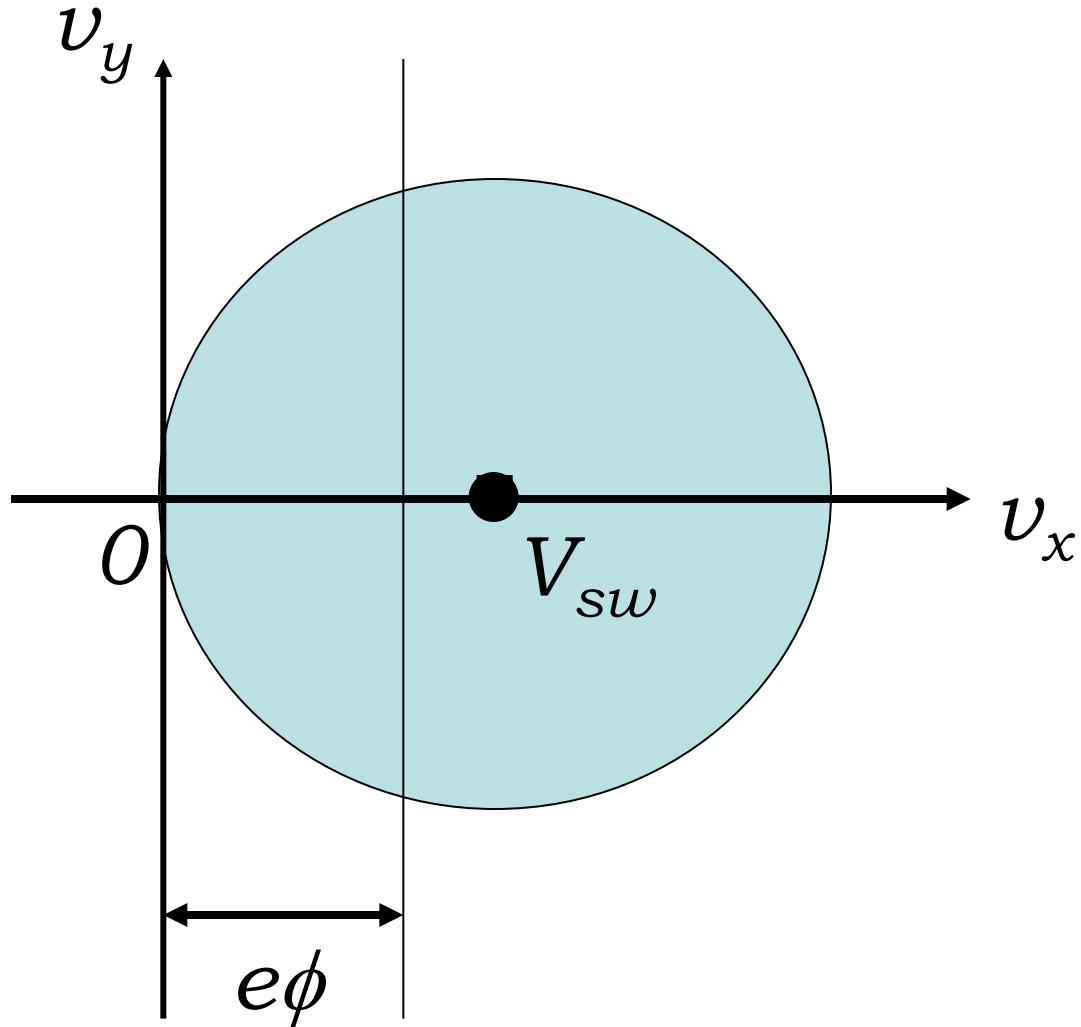
# SEP Propagation: A Simple Case

$$\mathbf{V} \cong 0, \quad \mathbf{V}_D \cong 0, \quad \mathbf{K} = \mathbf{K}(p), \quad \nabla = \mathbf{e}_r \partial / \partial r$$

$$\frac{\partial f}{\partial t} = K \nabla^2 f + f_0(p) \delta(\mathbf{x}) \delta(t)$$

$$f(p, r, t) = \frac{f_0(p)}{[4\pi K(p)t]^{3/2}} \exp\left(-\frac{r^2}{4K(p)t}\right)$$

# Pickup Ion Mediated Termination Shock



# Interstellar Pickup Ion Transport

$$\mathbf{V}_D \cong 0, \mathbf{K} \cong 0, \mathbf{V} = \mathbf{e}_r V, \partial/\partial t = 0$$

$$\frac{\partial f}{\partial t} + \mathbf{V} \cdot \nabla f - \frac{1}{3} \nabla \cdot \mathbf{V} v \frac{\partial f}{\partial v} = \beta_0 \left( \frac{r_0}{r} \right)^2 n_g(\mathbf{x}) \frac{\delta(v - V)}{4\pi v^2}$$

$$f(r, v < V) = \frac{3\beta_0 r_0^2}{8\pi V^{5/2}} \frac{1}{rv^{3/2}} n_g \left[ r(v/V)^{3/2}, \theta, \phi \right]$$



# 4. Diffusive Shock Acceleration

# Diffusive Shock Acceleration

$$V_z \frac{df}{dz} - \frac{d}{dz} \left( K_{zz} \frac{df}{dz} \right) - \frac{1}{3} \frac{dV_z}{dz} p \frac{df}{dp} = Q \delta(z) \delta(p - p_0)$$

$$f(z < 0) = \frac{3Q}{(V_u - V_d) p_0} \left( \frac{p}{p_0} \right)^{-\beta} \exp\left( \frac{V_z}{K} \right)$$

$$f(z > 0) = \frac{3Q}{(V_u - V_d) p_0} \left( \frac{p}{p_0} \right)^{-\beta} \quad \beta = 3X / (X - 1)$$

*Fisk, 1971;.....*

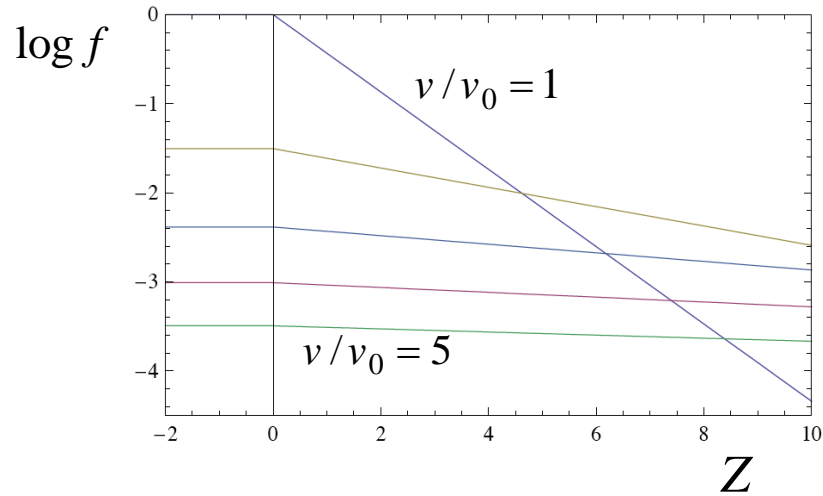
*Axford, Leer and Skadron, 1977*

*Krymsky, 1977*

*Blandford and Ostriker, 1978*

*Bell, 1978*

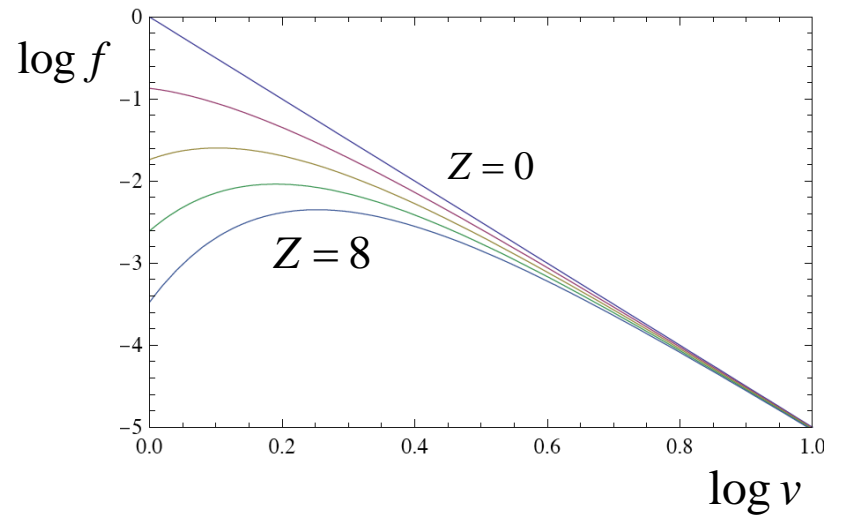
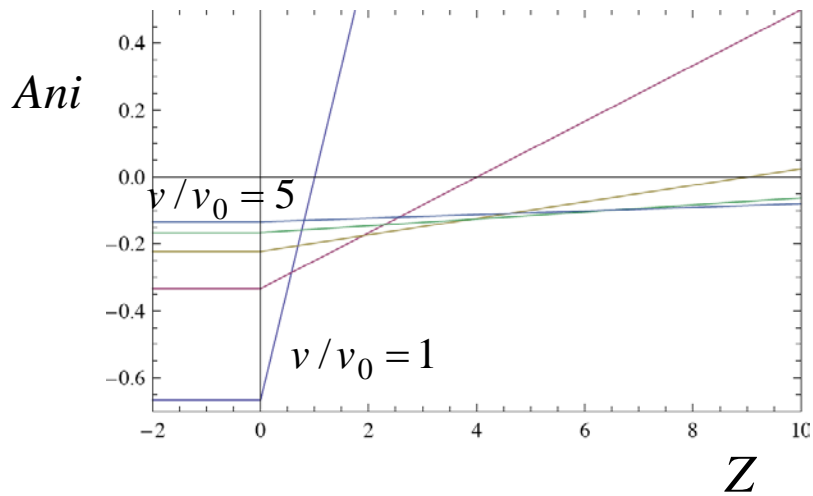
# Planar Stationary DSA



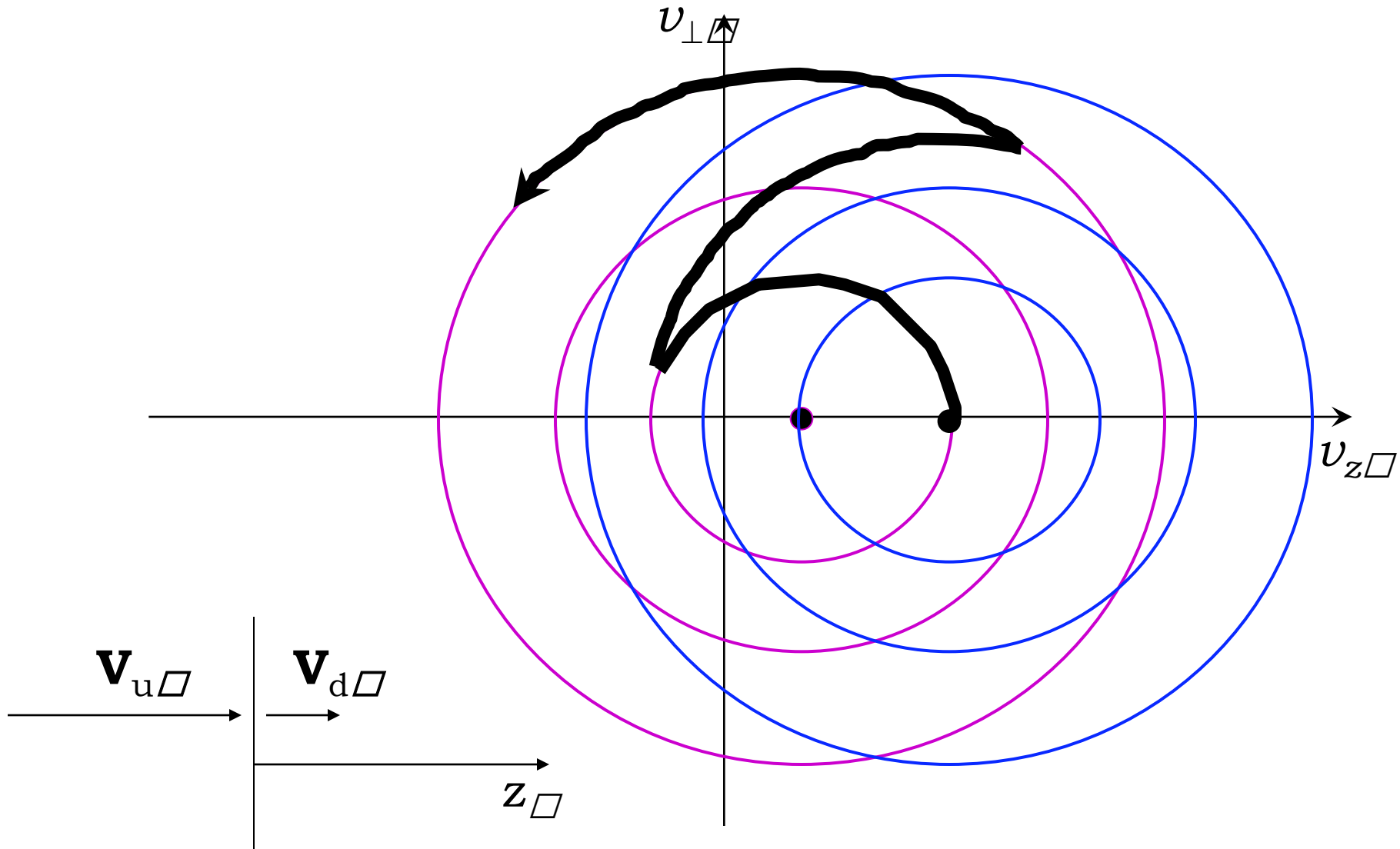
$$K_{zz} = K_0 (v/v_0)^2$$

$$Z = V_z / K_0$$

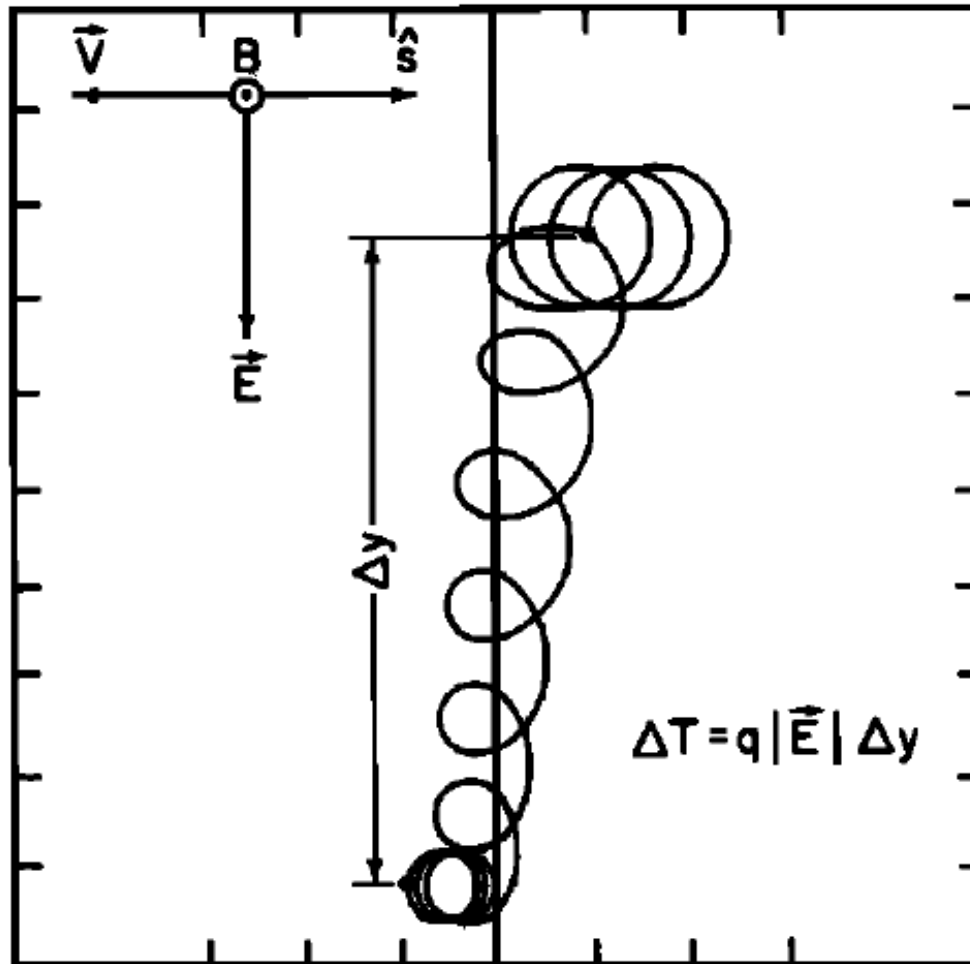
$$\beta = 5$$



# First-Order Fermi Acceleration



# “Shock Drift” Acceleration



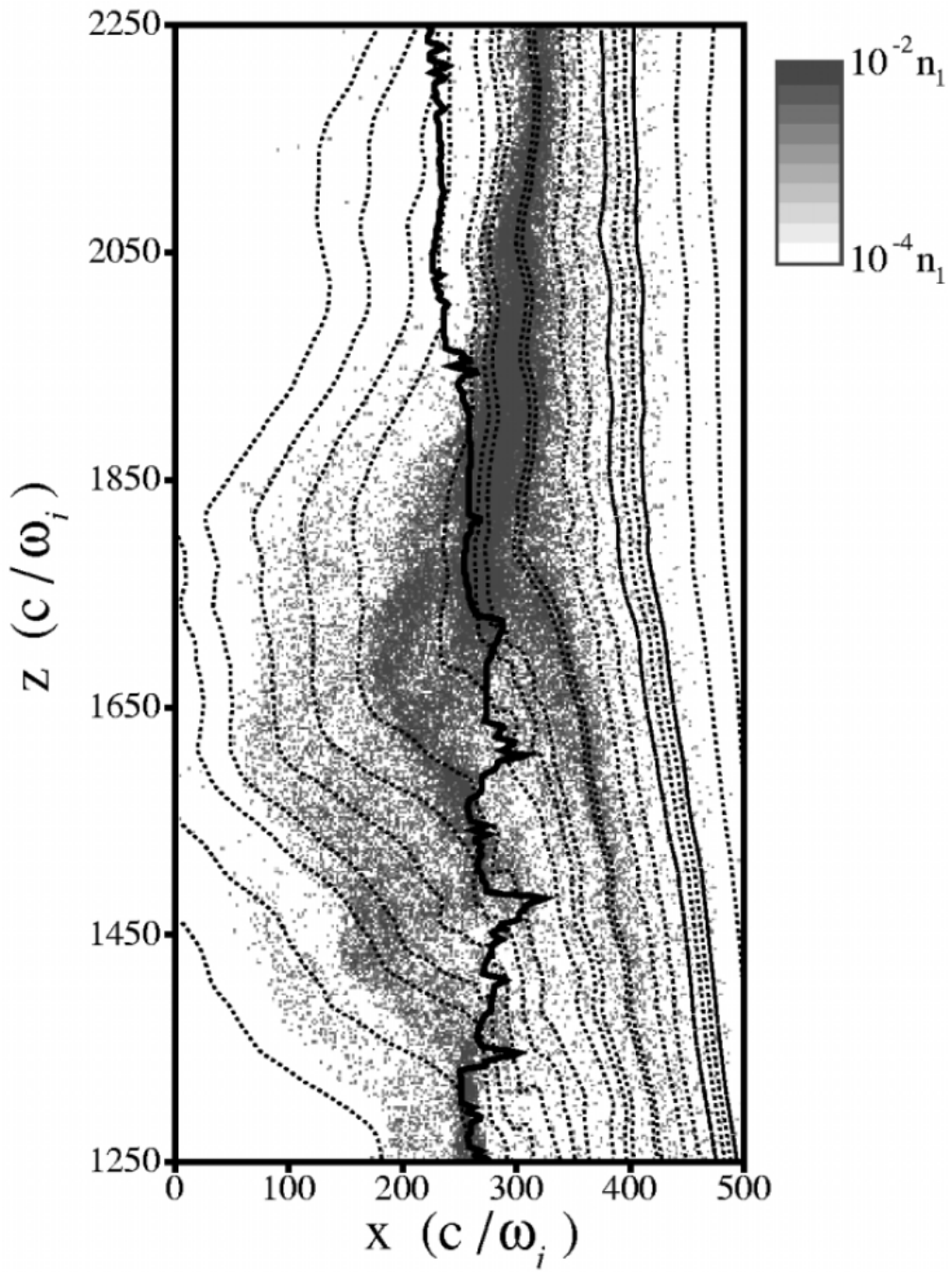


# Anisotropy Limitation

$$\frac{|\mathbf{S}|}{vf} = \frac{V}{v} \left[ 1 + \frac{K_A^2 \sin^2 \theta + (K_{\parallel} - K_{\perp})^2 \sin^2 \theta \cos^2 \theta}{(K_{\parallel} \cos^2 \theta + K_{\perp} \sin^2 \theta)^2} \right]^{1/2}$$

$$K_{\parallel} \gg K_{\perp}, K_A :$$

$$\Rightarrow \frac{|\mathbf{S}|}{vf} = \frac{V}{v \cos \theta}$$



# Quasi-Perpendicular Shock Simulation: Be Careful!

*Giacalone, 1999*



# Shock Modification

$$\partial/\partial t = \partial/\partial y = \partial/\partial z = \mathbf{V}_D = Q = 0$$

$$V \frac{dP_c}{dx} - \frac{d}{dx} \left( \bar{K} \frac{dP_c}{dx} \right) + \gamma_c \frac{dV}{dx} P_c \cong 0$$

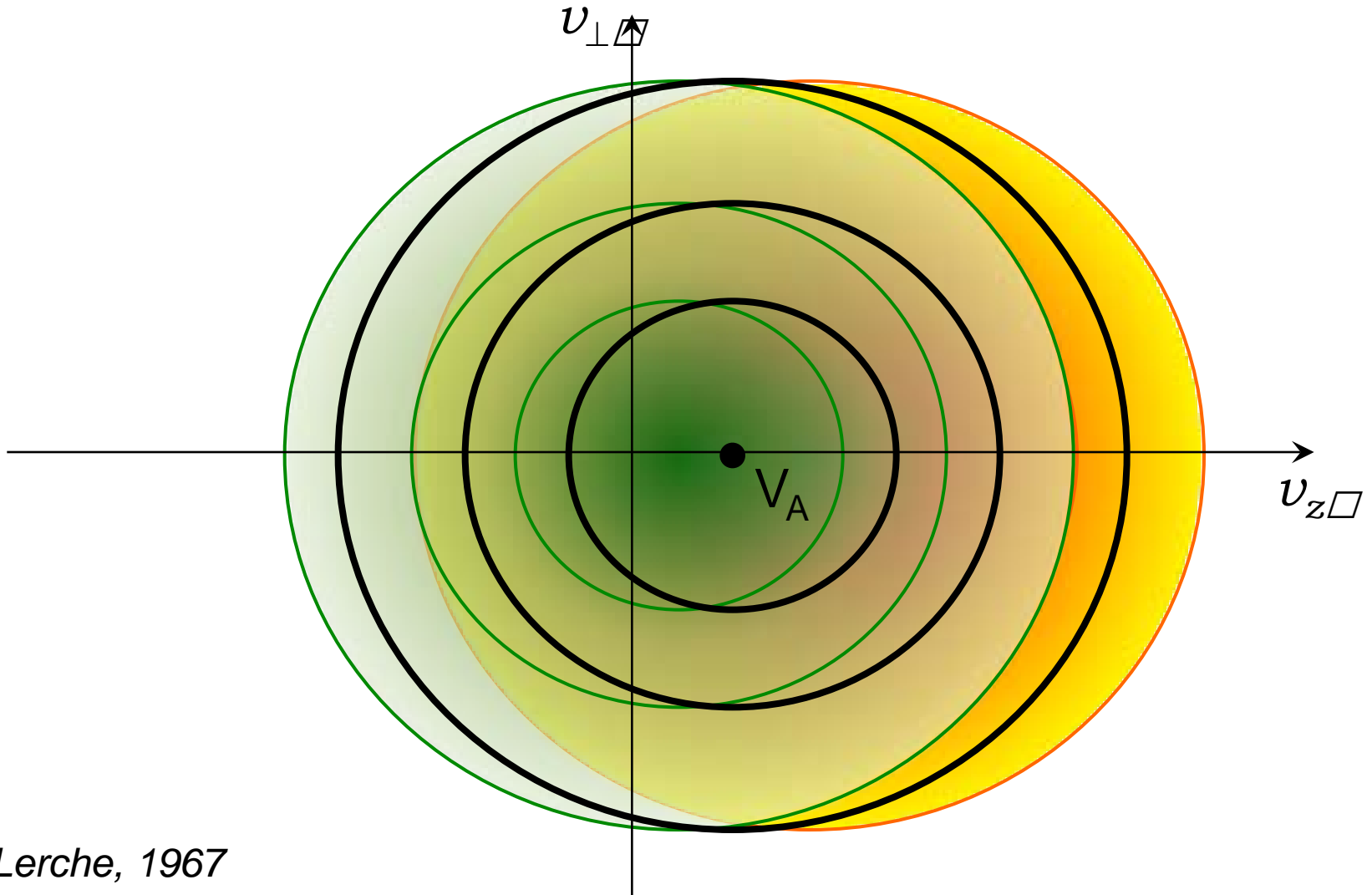
$$\frac{d}{dx} (\rho V) = 0$$

$$\rho V \frac{dV}{dx} = - \frac{d}{dx} (P_g + P_c)$$

$$V \frac{dP_g}{dx} + \gamma_g \frac{dV}{dx} P_g = 0$$

# 5. Wave Excitation at Shocks

# Instability Mechanism

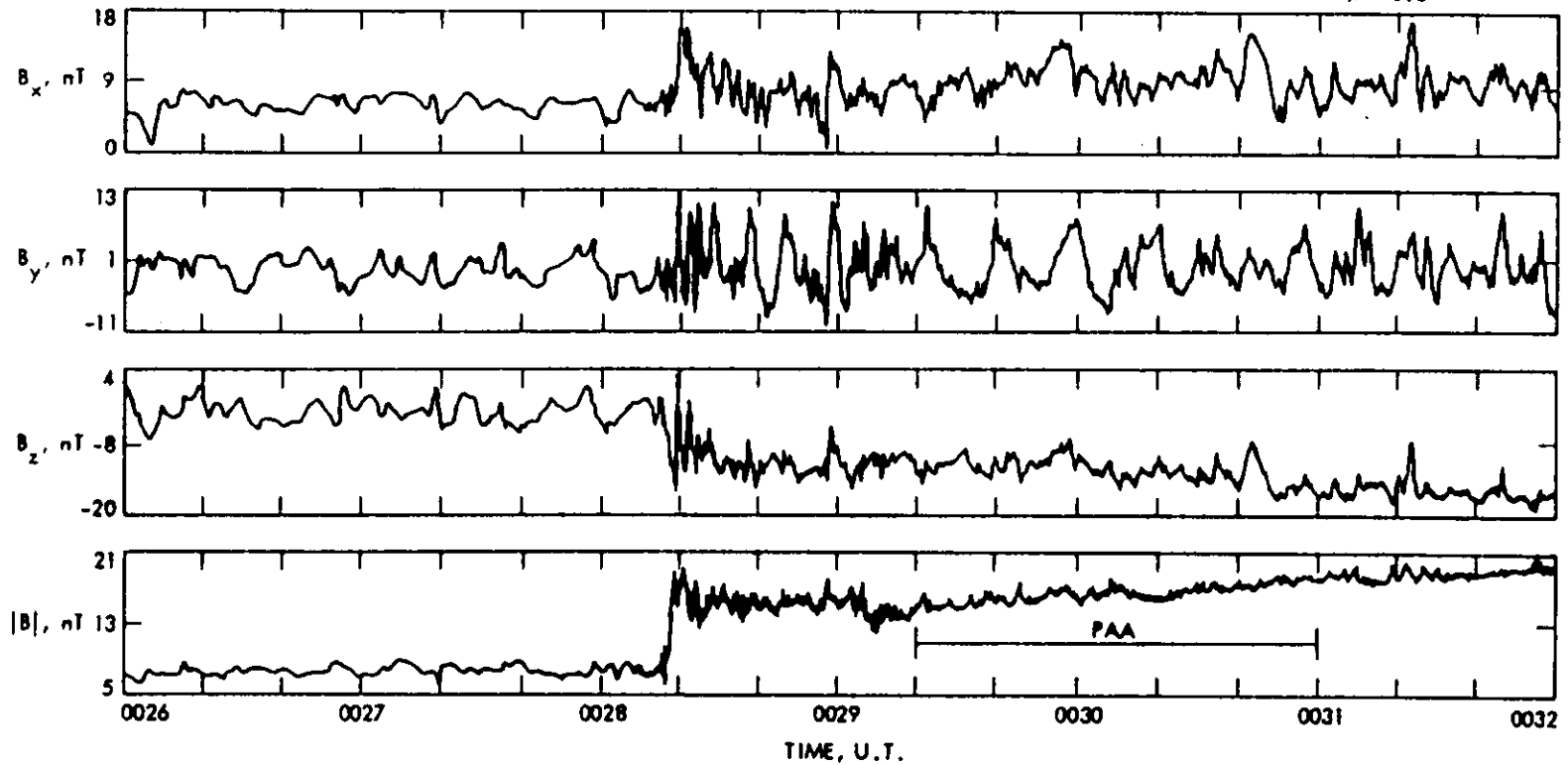


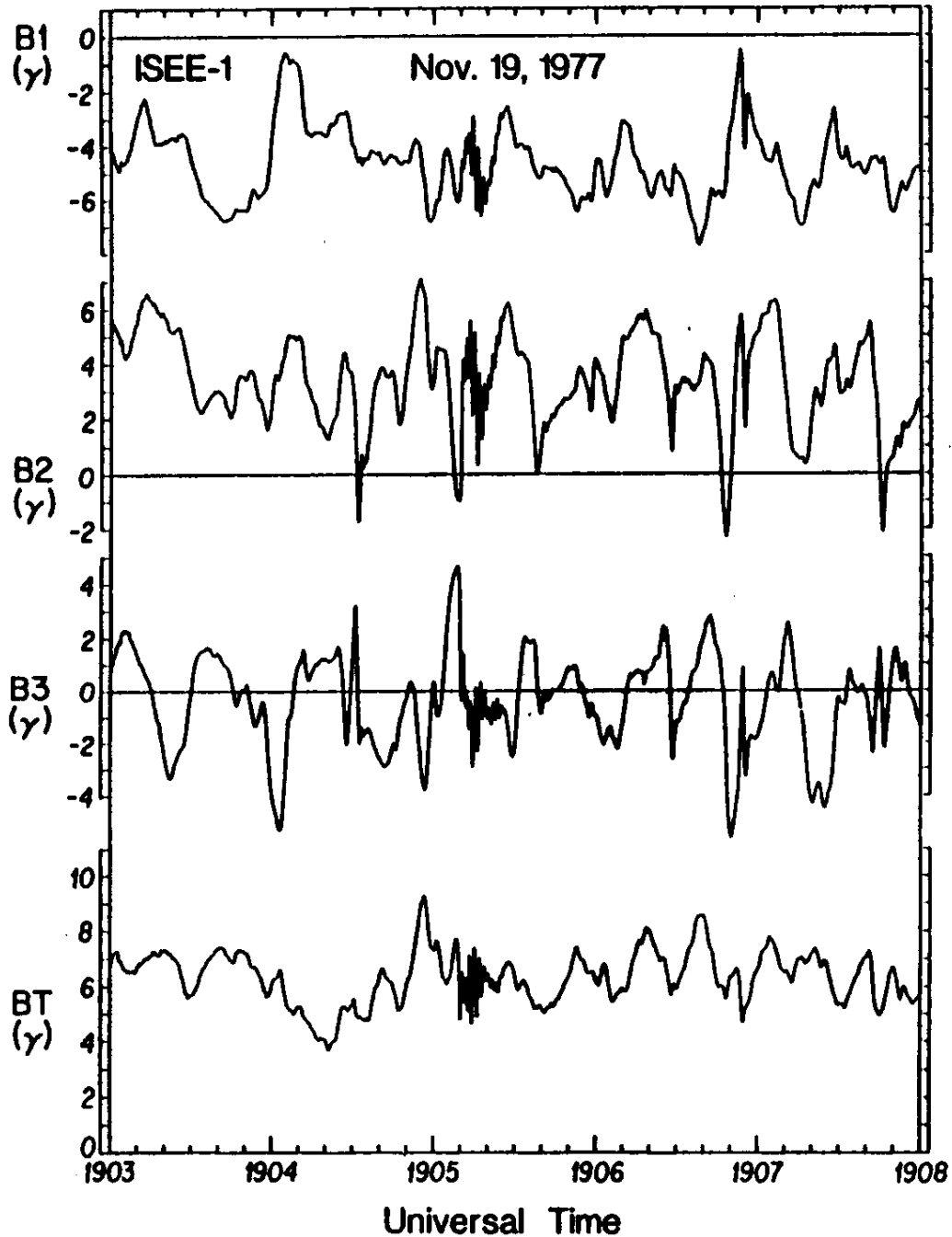
Lerche, 1967

# Upstream Waves I

DAY 316, 1978  
NOVEMBER 12  
ISEE-3

$\hat{n} = (-.96, .28, .09)$   
 $\theta_B = 22^\circ$   
 $M_s = 4.7$   
 $\beta = 0.5$





## Upstream Waves II

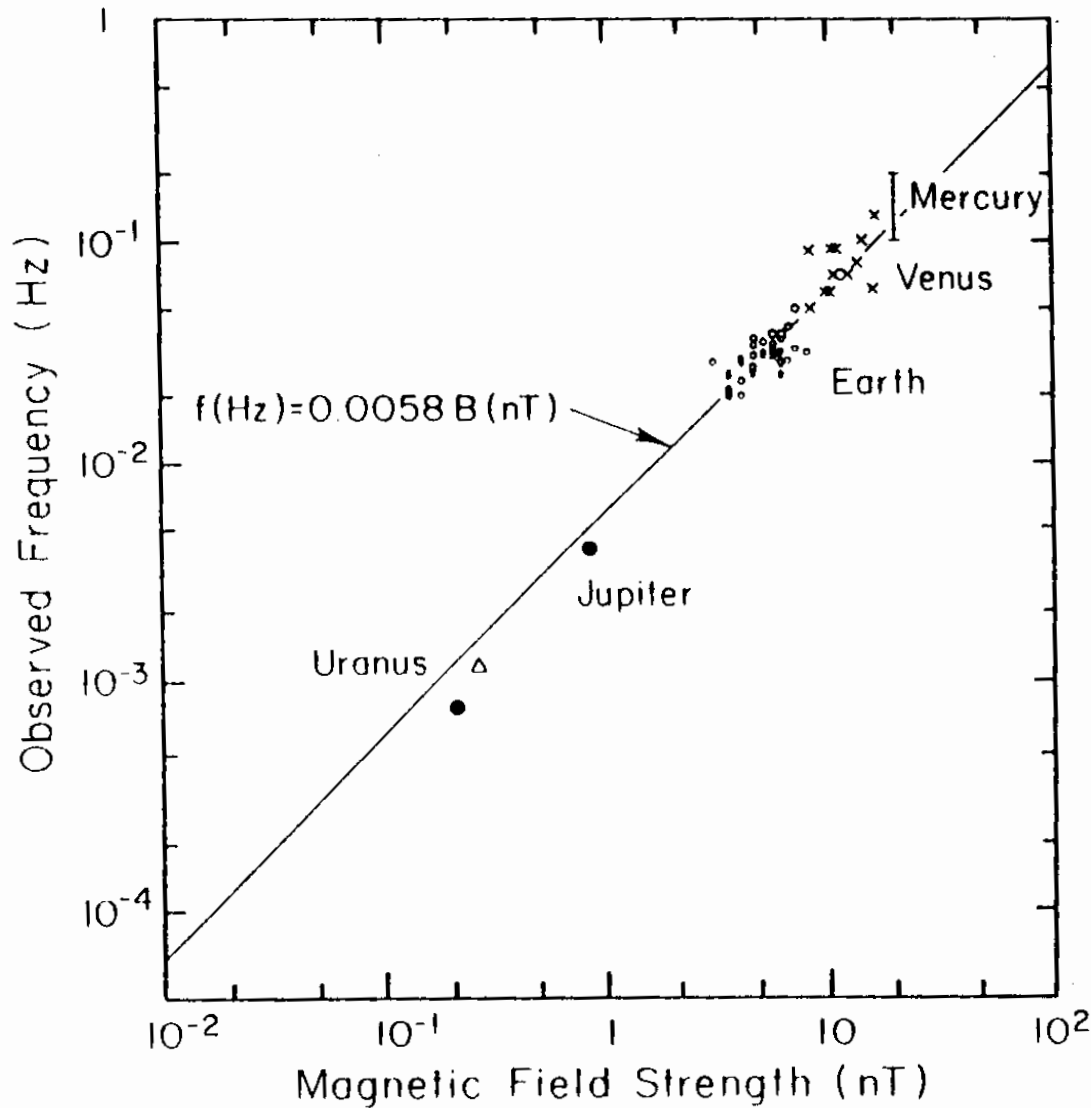
*Hoppe et al., 1981*

# Cyclotron Resonance Condition

$$\omega - kv_z + \Omega = 0$$

$$kv_z \approx \Omega$$

$$\omega_s \sim kV_{sw} \sim \Omega(V_{sw}/v_z) \propto B$$

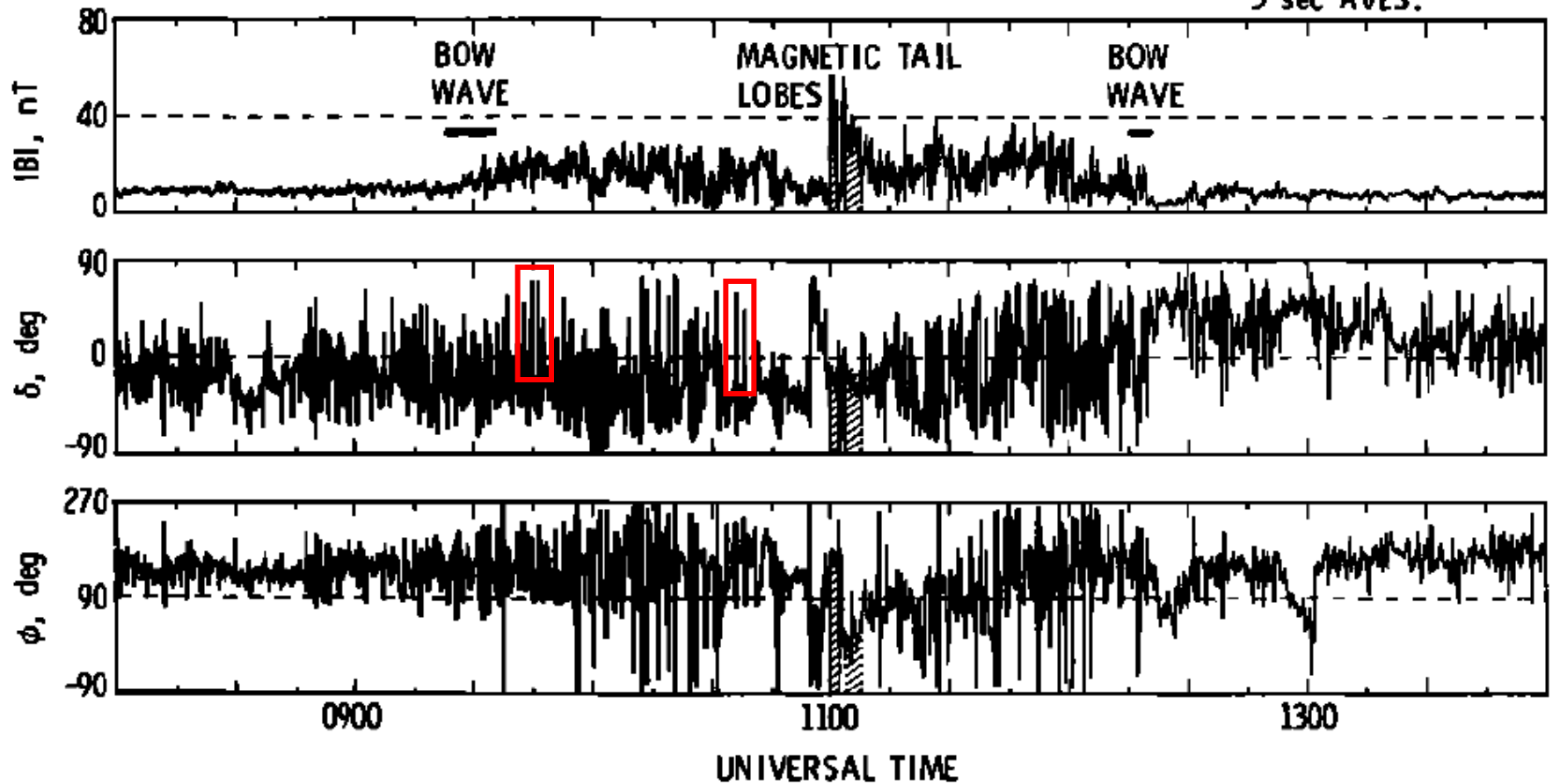


# Upstream Waves at Planetary Shocks

*Russell et al., 1990*

# Pickup Ion Excited Waves at Comet G-Z

SEPTEMBER 11, 1985  
GSE COORDINATES  
3 sec AVES.



*Tsurutani and Smith, 1986*



# Wave Excitation - I

$$-V \partial I_{\pm} / \partial z = 2\gamma_{\pm} I_{\pm}$$

$$I \cong I_{+} = I_{+}^{\circ}(k) + \frac{4\pi^2 V_A}{k^2 V} |\Omega_p| m_p \cos \psi \int_{|\Omega_p/k|}^{\infty} dv v^3 \left(1 - \frac{\Omega_p^2}{k^2 v^2}\right) (f_p - f_{p,\infty})$$

$$f_{p,\infty} = \bar{n}_p (4\pi v_{p,0}^2)^{-1} \delta(v - v_{p,0}) + \bar{C} v^{-\gamma} S(v - \bar{v}_{p,0})$$

# Wave Excitation - II

$$I = I_+^\circ + \frac{4\pi^2 V_A}{k^2 V} \frac{|\Omega_p|}{m_p \cos \psi} \int_{|\Omega_p/k|}^{\infty} dv v^3 \left(1 - \frac{\Omega_p^2}{k^2 v^2}\right).$$

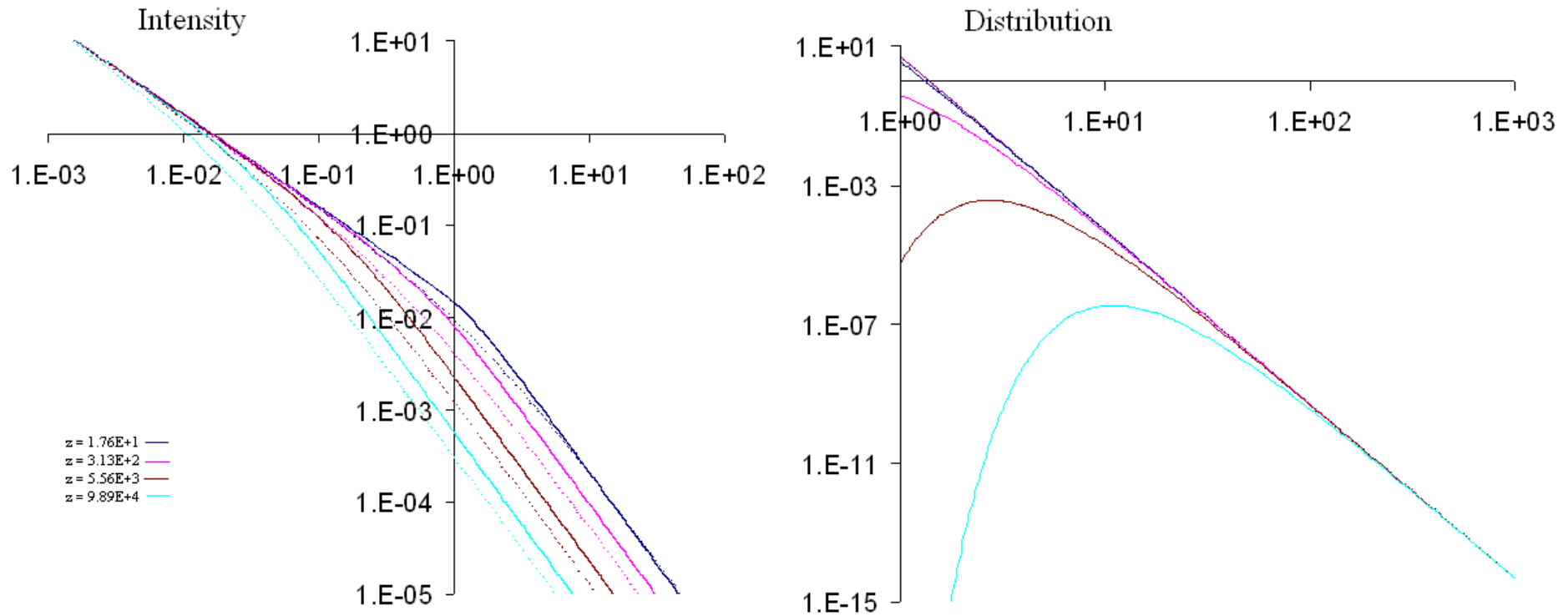
$$\left\{ \frac{\beta \bar{n}}{4\pi v_{0,p}^3} \left(\frac{v}{v_{0,p}}\right)^{-\beta} S(v - v_{0,p}) - \frac{\bar{n}}{4\pi v_{0,p}^2} \delta(v - v_{0,p}) \right.$$

$$\left. + \frac{\bar{C} \bar{v}_{0,p}^{-\gamma}}{\beta - \gamma} \left[ \gamma \left(\frac{v}{\bar{v}_{0,p}}\right)^{-\gamma} - \beta \left(\frac{v}{\bar{v}_{0,p}}\right)^{-\beta} \right] S(v - \bar{v}_{0,p}) \right\}.$$

$$\cdot \exp \left\{ -V \int_0^z dz \left[ \cos^2 \psi \frac{v^3}{4\pi} \frac{B_0^2}{\Omega_p^2} \int_{-1}^1 d\mu \frac{|\mu| (1 - \mu^2)}{I(\Omega_p \mu^{-1} v^{-1})} + \sin^2 \psi K_{\perp} \right]^{-1} \right\}$$

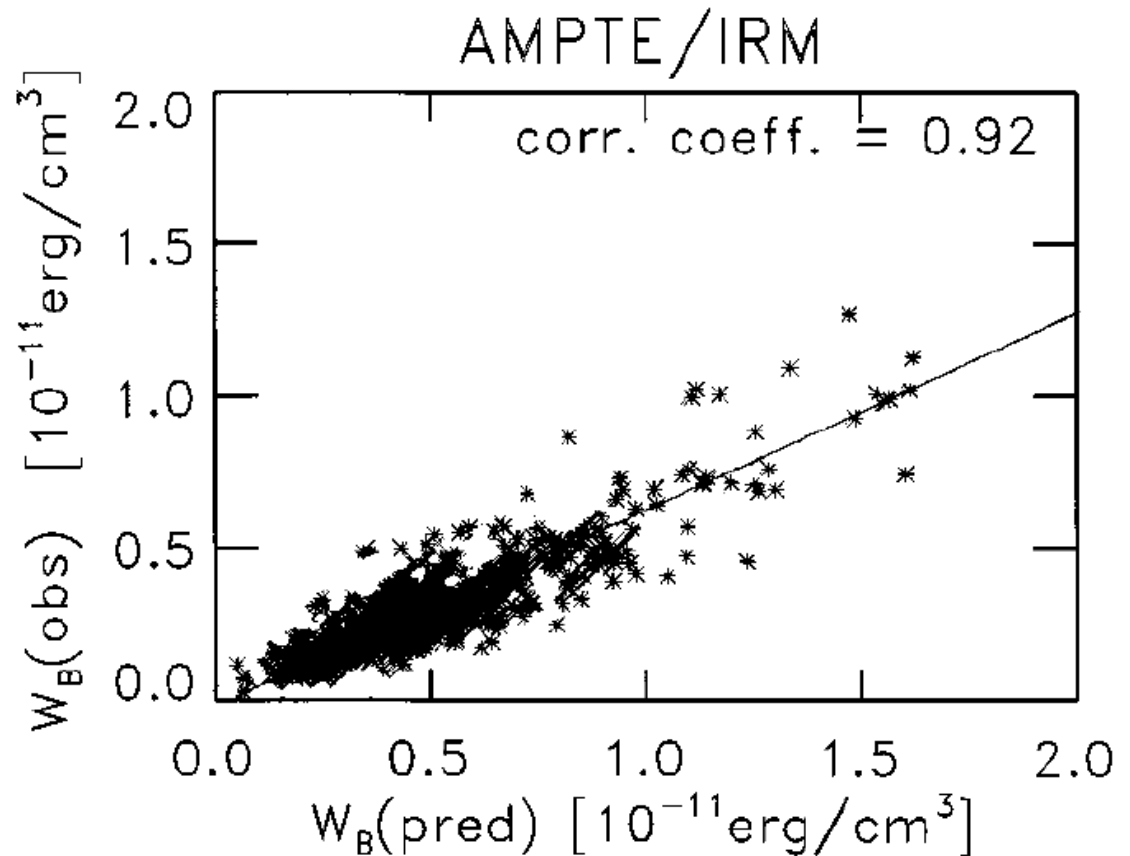
# Wave Excitation - III

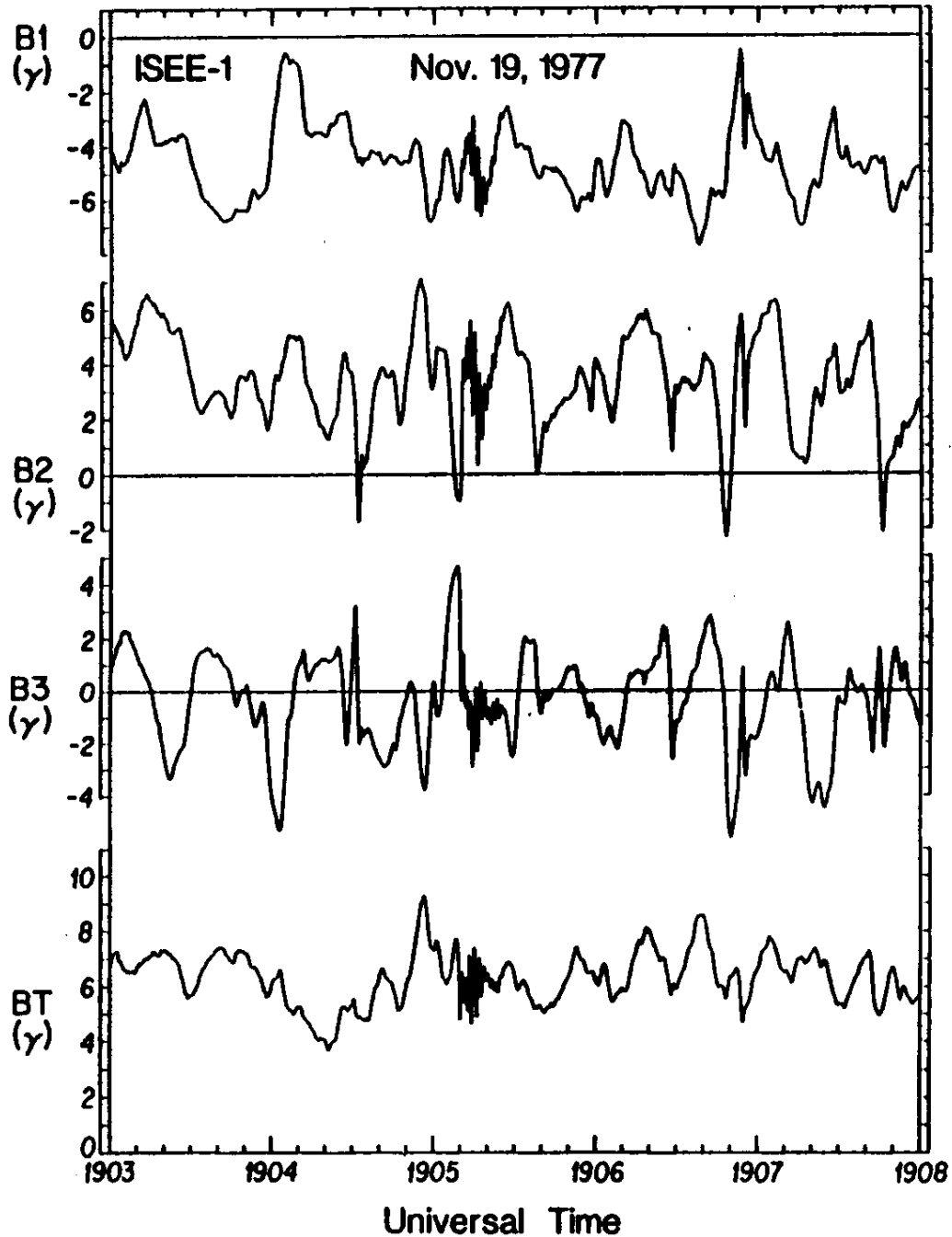
$$\beta = 7; I_0(k) \approx 0$$



# Waves Upstream of Earth's Bow Shock

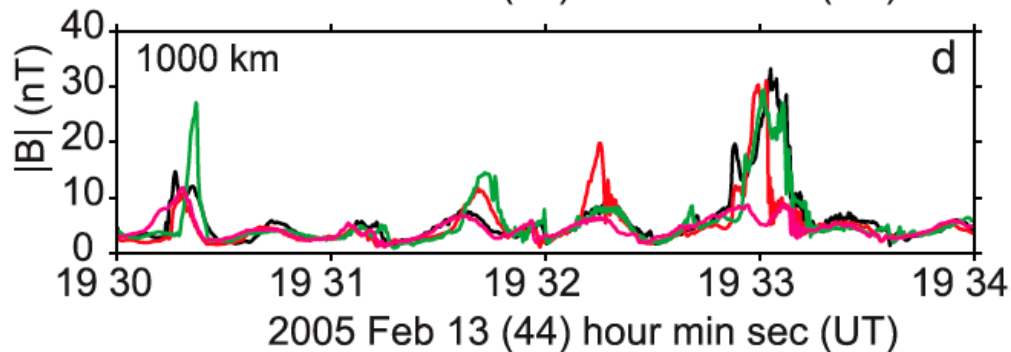
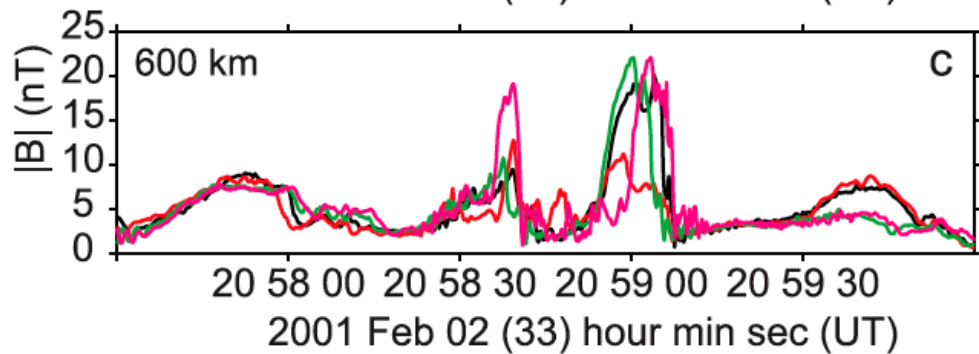
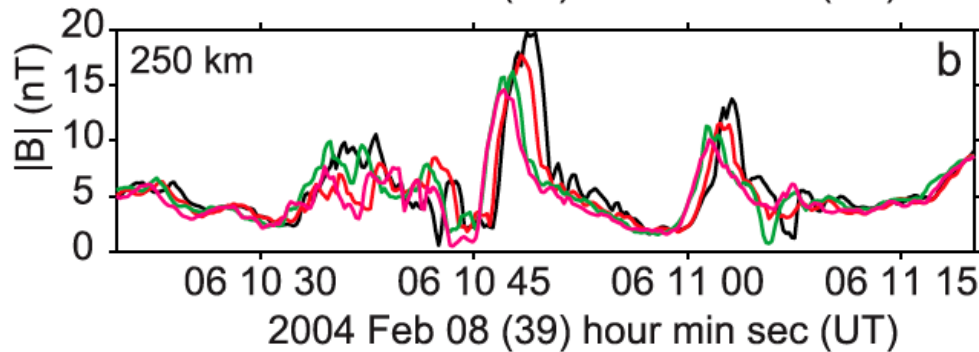
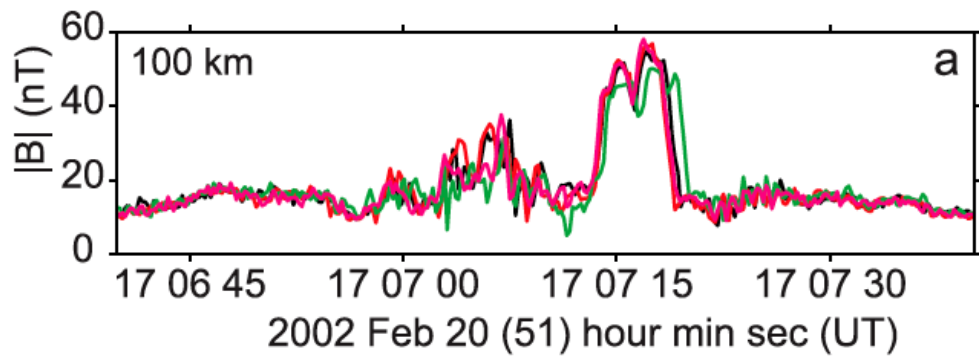
$$W_B = \frac{1}{3} \frac{V_A(\hat{e}_b \cdot \hat{e}_g)}{V_{sw}(\hat{e}_z \cdot \hat{e}_g) - V_A(\hat{e}_b \cdot \hat{e}_g)} W_p$$





# Upstream Waves

*Hoppe et al., 1981*



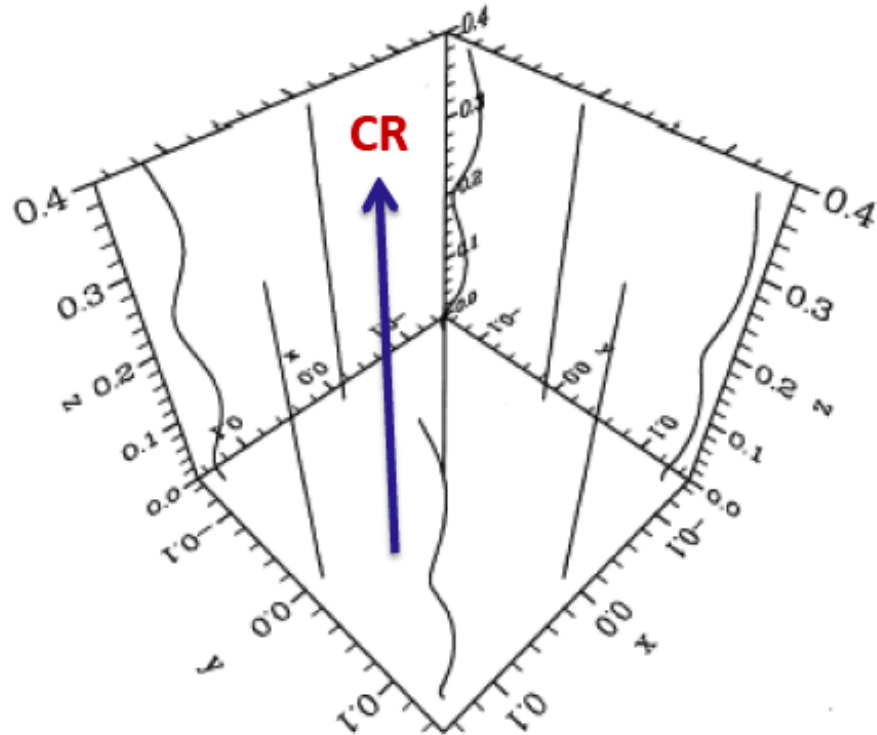
# SLAMS

*Lucek et al., 2008*

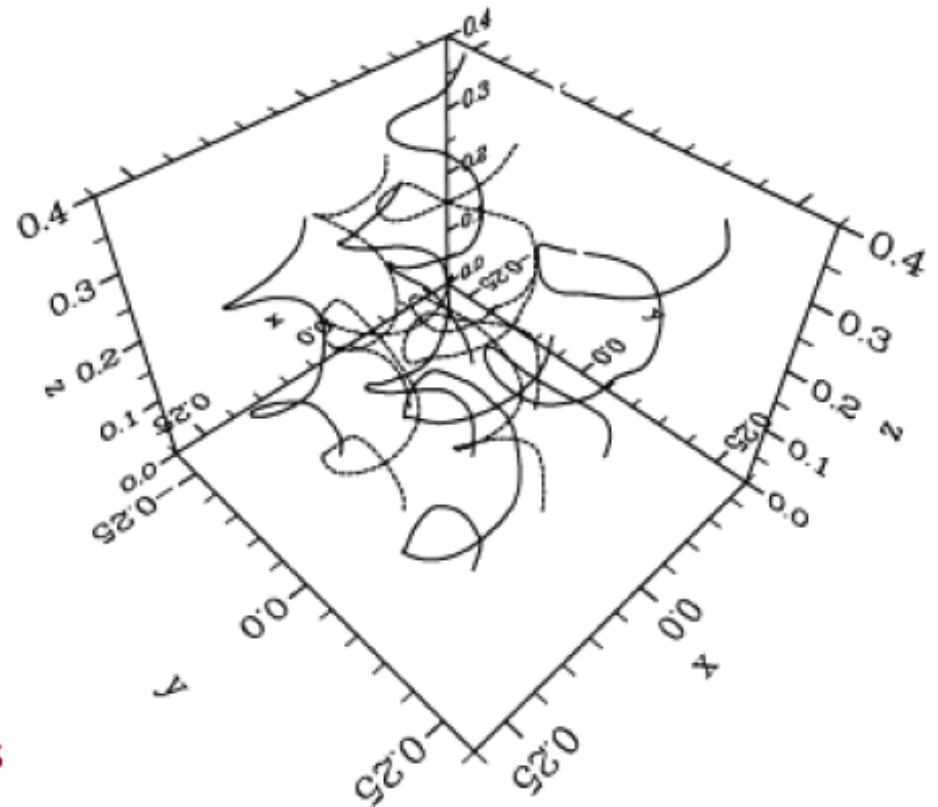
# Streaming instability driven by cosmic rays

Lucek & Bell 2000

B field lines,  $t = 0$



B field lines,  $t = 2$

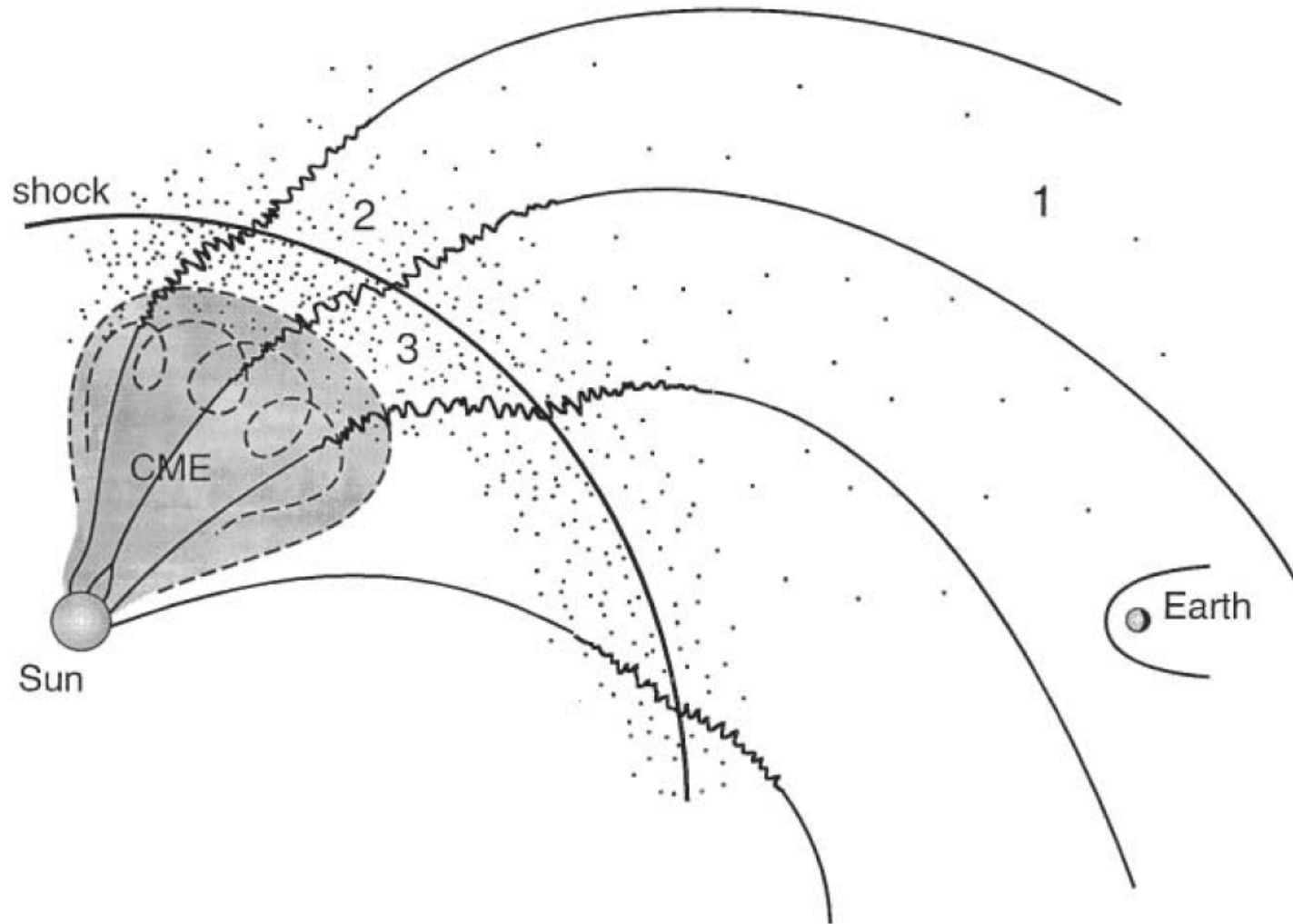


$\delta B/B \gg 1$  scatters energetic particles

# 6. Applications of DSA



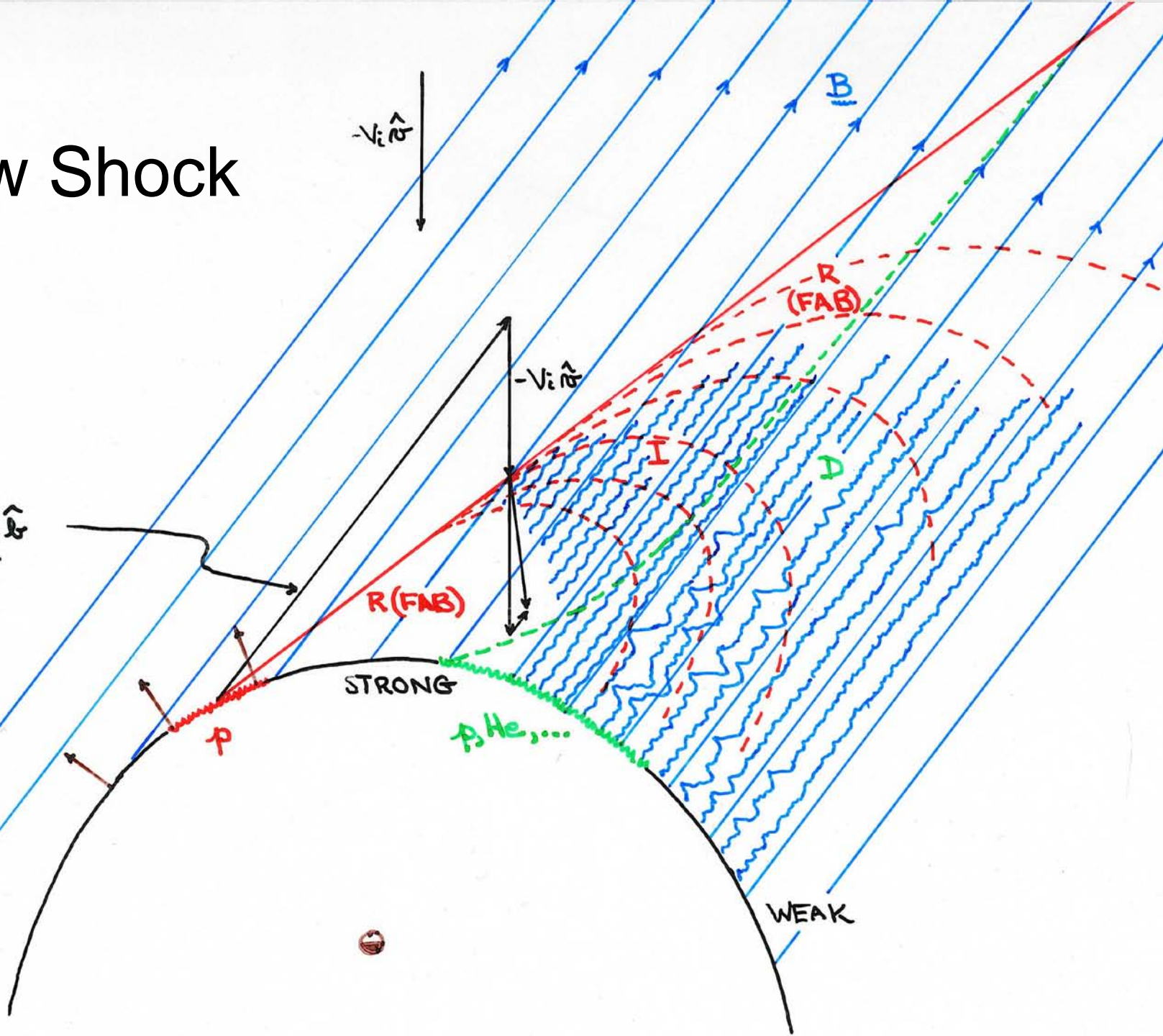
# Acceleration at a CME-Driven Shock

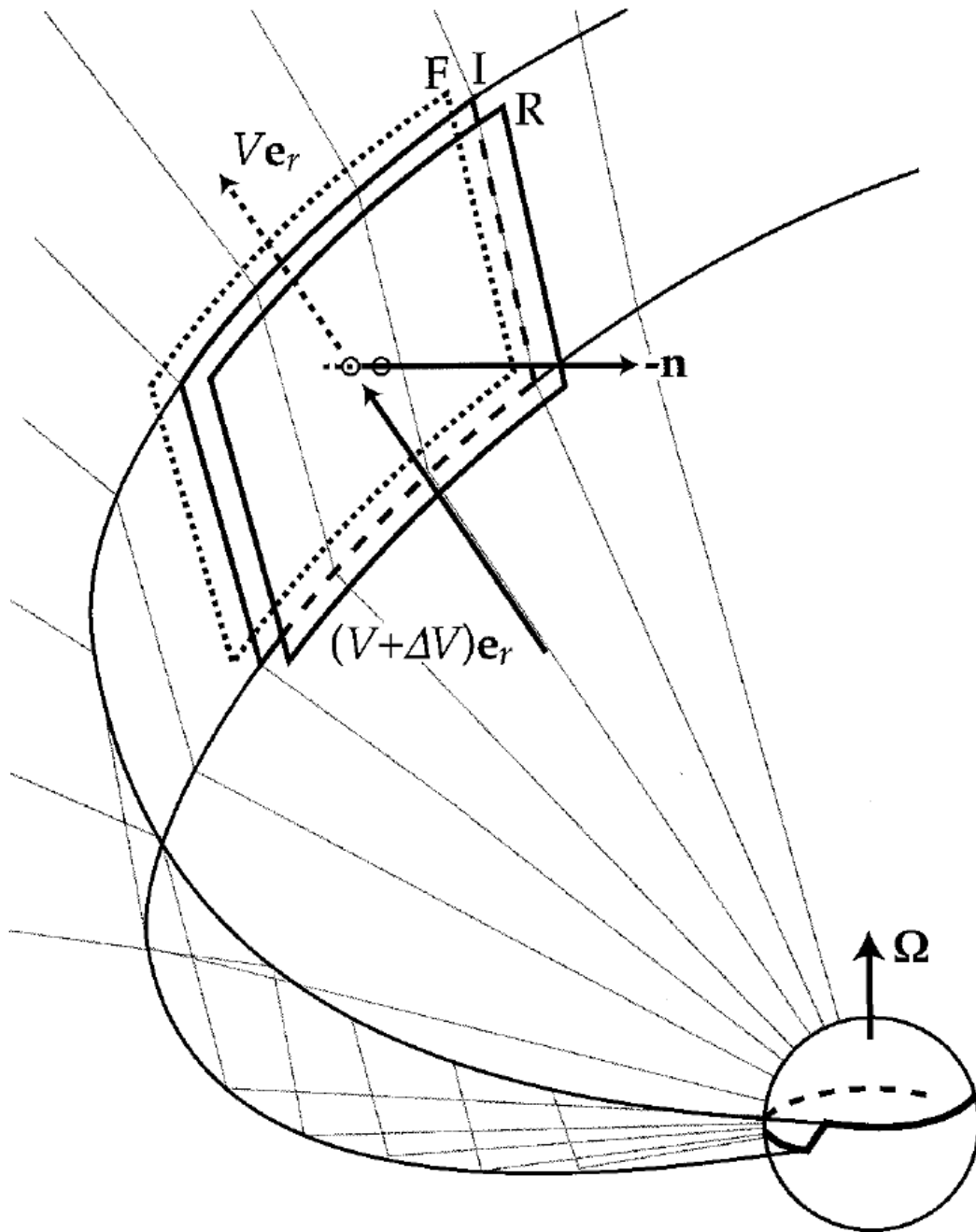


*Lee, 2005*

# Bow Shock

$$2V_i \frac{\cos \theta_{vm}}{\cos \theta_{bm}} \hat{b}$$





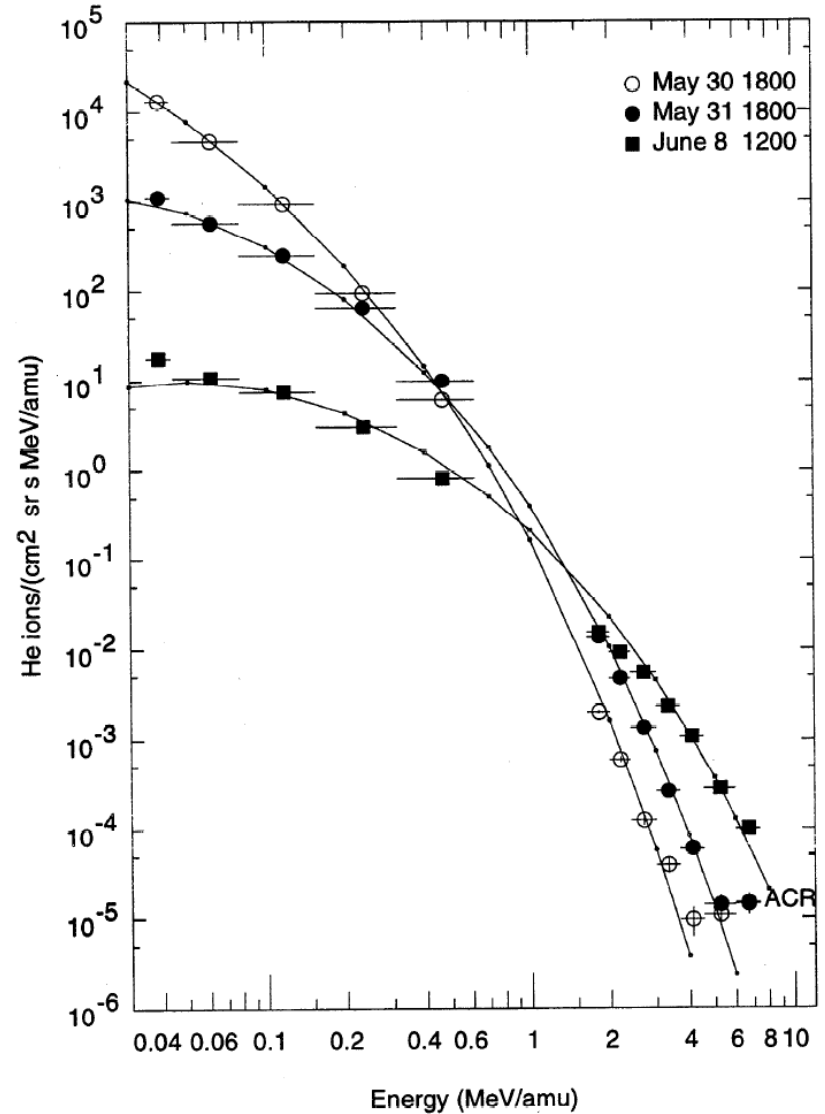
# CIR Geometry

# Corotating Ion Events

$$f \sim (r/r_s)^{(2/(R-1))+V/(\kappa_0 v)}$$
$$\times v^{-3R/(R-1)} \exp[-6\kappa_0 v R / (V(R-1)^2)]$$

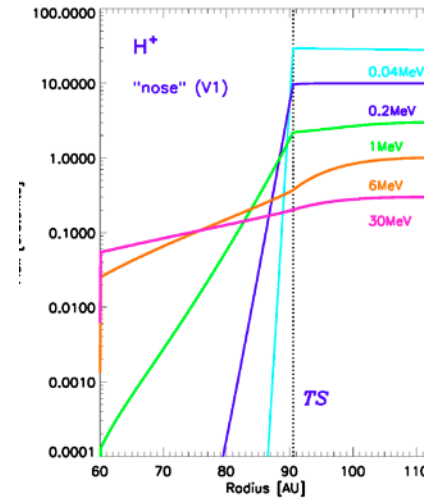
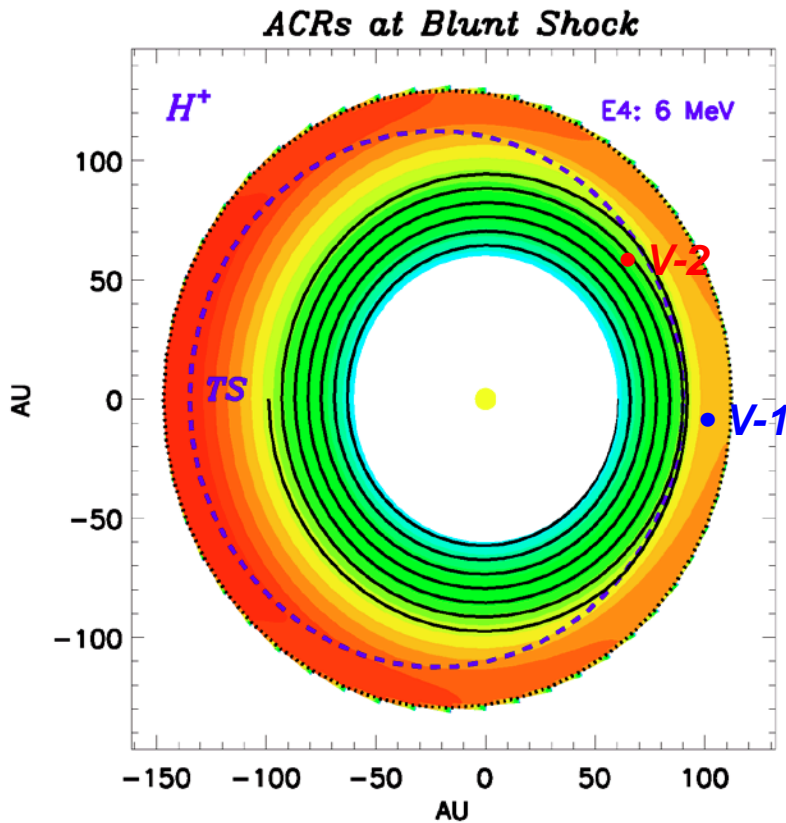
*Fisk and Lee, 1980*

*Reames et al., 1997*

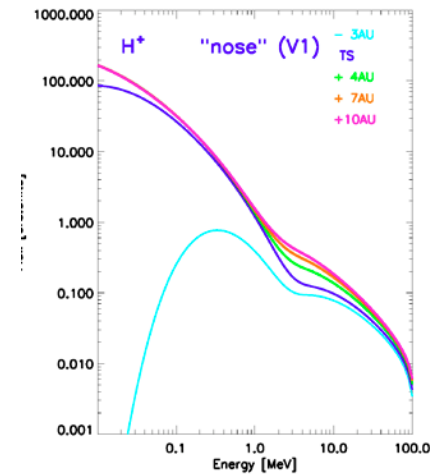


## Blunt Shock: 2D Simulation for ACR energies

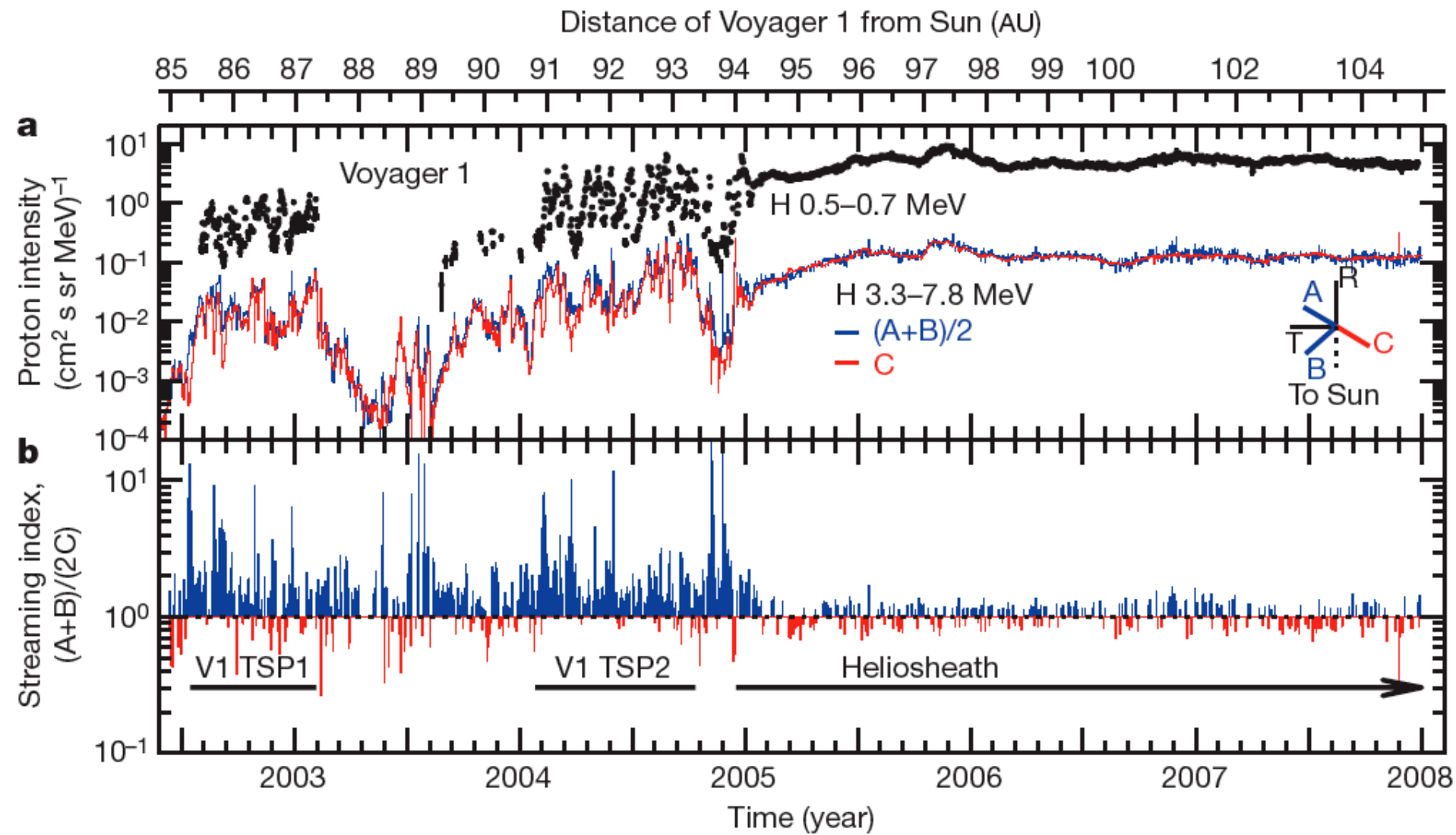
*TS is offset circle,  
small cross field diffusion:  $\eta=0.02$*



*ACR flux  
increases  
into the  
Heliosheath*



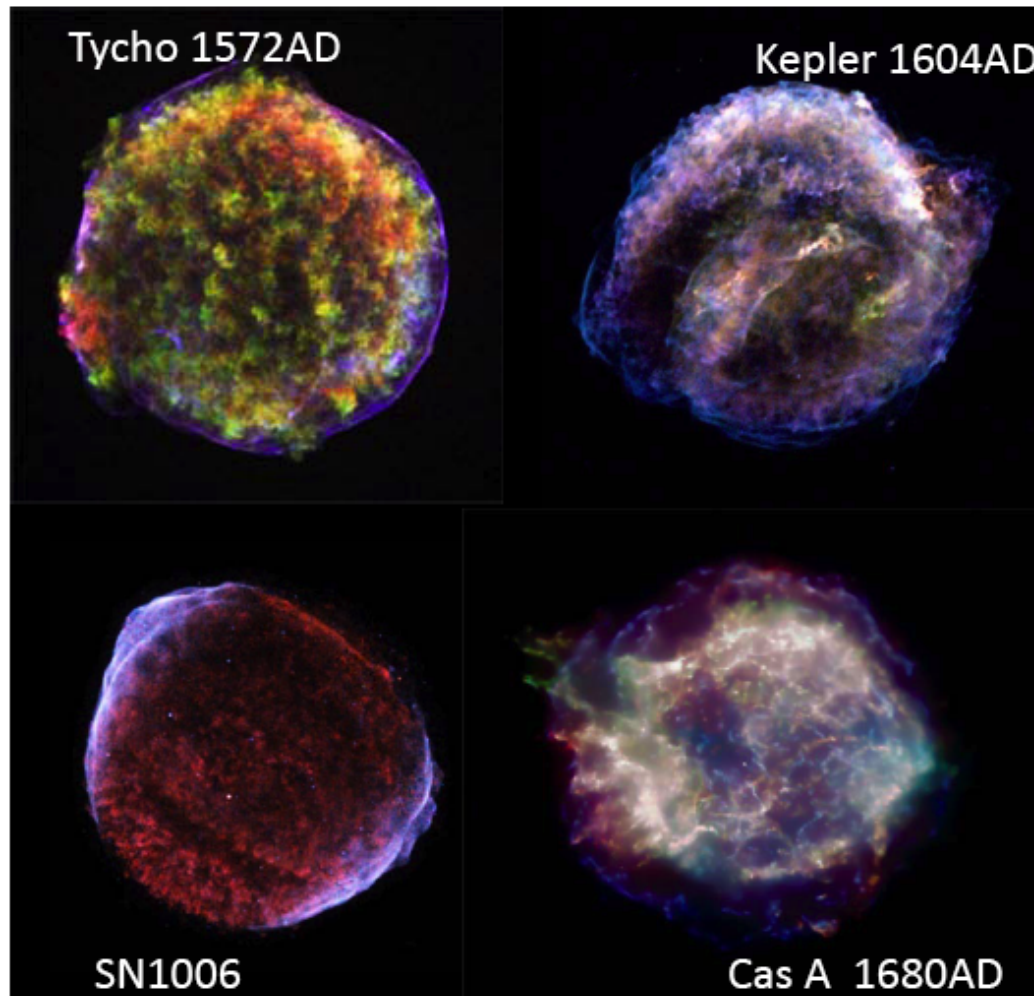
*Spectrum  
gradually  
unfolds*



*Stone et al., 2008*

# Evidence for magnetic field amplification at shock

(Vink & Laming, 2003; Völk, Berezhko, Ksenofontov, 2005)



Chandra observations

NASA/CXC/Rutgers/  
J.Hughes et al.

NASA/CXC/Rutgers/  
J.Warren & J.Hughes et al.

NASA/CXC/NCSU/  
S.Reynolds et al.

NASA/CXC/MIT/UMass Amherst/  
M.D.Stage et al.